



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : James Harrison Aylward Docket : 14923Z
Serial No : 09/888,178 Group Art Unit : 1654
Filed : June 21, 2001 Examiner : Christopher Robin Tate
For : ANTI CANCER COMPOUNDS

Commissioner of
Patent and Trademarks
Washington, D.C. 20231

DECLARATION PURSUANT TO 37 C.F.R. §1.132

I, Dr. James Harrison Aylward, hereby declare as follows:

1. I am currently the Research Director of Peplin Operations Pty Ltd, a subsidiary of Peplin Biotech Ltd, Ground Floor, South Tower, 527 Gregory Terrace, Bowen Hills, Brisbane, QLD, 4006, Australia. My Curriculum Vitae is attached hereto as Exhibit JHA-1.
2. I have published extensively in the area of biochemistry. A list of my publications is included in my Curriculum Vitae (Exhibit JHA-1).
3. I am an inventor of subject matter contained and described in United States Patent Application Serial No. 09/888,178 filed on 21 June, 2001 (hereinafter referred to as the "APPLICATION"). The APPLICATION is directed *inter alia* to a method for treating cancer by administering to the subject in need thereof a therapeutically effective amount of an angeloyl-substituted ingenane obtainable from the sap of a

- 2 -

Euphorbia species and an active derivative of an angeloyl-substituted ingenane obtainable from the sap of a *Euphorbia* species.

4. In conjunction with my scientific collaborators, I conducted experiments isolating ingenanes from *Euphorbia* species using methodologies such as HPLC. The following experiments describe the isolation of 16 ingenane compounds from *Euphorbia paralias*.

In the following Examples, ^1H NMR and ^{13}C NMR data for compounds 1-7 are shown in Tables 1 and 2 respectively; ^1H NMR data for compounds 8-12 are shown in Table 3, ^{13}C NMR data for compounds 8, and 13-16 are shown in Table 4,; ^1H NMR data for compounds 13-16 are shown in Table 5 and the structures of the compounds are shown in Table 6.

The isolated compounds 1-16 were tested for anticancer activity. All had activity at least greater than 100 bipolar units, as measured by reversion of malignant melanoma MM96L cells to a bipolar dendritic morphology, the assay as described in United States Patent Application Serial No. 09/888,178 filed on 21 June, 2000.

Isolation and Identification of Ingenanes from *Euphorbia paralias* plants

Example 1:

Euphorbia paralias plants collected from the coastline of Victoria, Australia were washed with water and the roots removed. The stems and leaves were cut into *ca* 1cm lengths and stood in water (2l per 500g of plant material) for at least 1 hour then filtered through glass fibre paper. The plant material was then stood in a further 1l of water for at least 1 hour then filtered through glass fibre paper. The combined filtrates from 20kg of stems and leaves of *Euphorbia paralias* treated in this manner were passed through a column of XAD-2 resin (1kg) at a rate of 10-20ml/hour. The XAD-2 resin was then washed with 40% methanol in water (10l) then 100% methanol (8l). The first 500ml of methanol was discarded and the remaining 7.5l combined and concentrated to a brown foam (13.4g).

- 3 -

Example 2:

Samples (2.0g) of *Euphorbia paralias* extract prepared as per Example 1 were taken up in methanol (5ml) and loaded onto a 3.4cm ID x 41cm column of Sephadex LH-20. This was eluted with 10% water in methanol at a drop rate of 1.4ml/min. 20ml eluate fractions were collected and analysed by HPLC on a 150mm x 4.6mm ID Alltima C18 5u column. These were combined and concentrated as follows, in order of elution, into:

Fractions containing polar material and no diterpenes

Fractions containing mainly polar material and some diterpenes

Fractions containing mainly segetanes, paralianes and jatrophanes with small amounts of ingenanes

Fractions containing mainly ingenanes

Fractions containing mainly polar material but some ingenanes

Fractions containing polar material and no diterpenes

Fractions containing diterpenes were dissolved in methanol (1ml/g) and loaded in 1g quantities onto a 2.6cm ID x 88cm column of Sephadex LH-20. This was eluted with 10% water in methanol at a drop rate of 0.4ml/min. 2ml eluate fractions were collected and analysed by HPLC. These were combined and concentrated as follows, in order of elution, into:

Fraction 1: containing polar material and no diterpenes

Fraction 2: containing polar material, segetanes, jatrophanes and paralianes

Fraction 3: containing segetanes, jatrophanes and paralianes

Fraction 4: containing mainly segetanes, jatrophanes, paralianes but some ingenanes

Fraction 5: containing segetanes, jatrophanes, paralianes and ingenanes but little 3-angeloyl-20-deoxyingenol

Fraction 6: containing segetanes, jatrophanes, paralianes and ingenanes, predominantly 3-angeloyl-20-deoxyingenol

Fraction 7: containing polar material and 3-angeloyl-20-deoxyingenol

Fraction 8: containing polar material and no ingenanes

- 4 -

Example 3:

Samples of fractions from Example 2 were subjected in *ca* 100mg quantities to HPLC on a 22mm ID x 250mm Alltima C18 5u column under the following conditions: 70% methanol isocratic for 30 mins. at 8ml/min., increasing linearly to 89.5% methanol at 9.3ml/min. over 200 mins., then again linearly to 100% methanol at 10ml/min. over 5 mins., and isocratic at 100% methanol for 25 mins.

Example 4:

Analytical HPLC analysis was conducted on a 4.6mm ID x 150mm Alltima C18 5u column, eluted at 1ml/min. with 75% methanol in water for 10 mins. followed by a linear gradient to 100% methanol over 15 mins. then 100% methanol for 7 mins. The eluate was monitored at 230 and 254nm.

Example 5:

Concentration of the eluate fractions containing the peak at 138 mins. from HPLC of fraction 5 from Example 2 according to Example 3 gave a colourless gum (25mg). This was taken up in *tert*-butyl methyl ether (MTB) and subjected to HPTLC on a Merck 10 x 20cm HPTLC plate coated with LiChrospher Si60F_{254s} (eluent 50% MTB in 40-60° bp petroleum spirit). Concentration of the ether extract of the excised band with R_f 0.54 gave 20-hydroxy-3,17-bis(angeloyloxy)-4,5-dihydroxyingen-1,6-dien-9-one (compound 1) (4mg) as a colourless gum. HPLC r.t. 20.1 mins. (according to Example 4). HRMS m/z 528.2704, calcd for C₃₀H₄₀O₈ 528.2723. APCIMS⁺ m/z 551 (6) [M+Na]⁺, 529 (2) [M+H]⁺, 511 (22) [M-OH]⁺, 411 (28) [M-angelic acid, -OH]⁺, 311 (100) [M-angelate, -angelic acid, -H₂O]⁺, 293 (44) [M-angelate, -angelic acid, -2H₂O]⁺. APCIMS⁻ m/z 527 (7) [M-H]⁻, 427 (65) [M-H, -angelic acid]⁻, 410 (47) [M-angelic acid, H₂O]⁻, 409 (47) [M-H, -angelic acid, H₂O]⁻, 327 (57) [M-H, -2angelic acid]⁻, 310 (96) [M-2angelic acid, -H₂O]⁻, 309 (100) [M-H, -2angelic acid, -H₂O]⁻, 297 (49).

- 5 -

Example 6:

Concentration of the eluate fractions containing the peak at 157 mins. from the HPLC of fraction 5 from Example 2 according to Example 3 gave a colourless gum (29mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20cm HPTLC plate (eluent 40% MTB in 40-60° bp petroleum spirit). Concentration of the ether extract of the excised band with R_f 0.91 gave 3,17-bis(angeloyloxy)-4,5-dihydroxyingena-1,6-dien-9-one (compound 2) (11mg) as a colourless gum. HPLC r.t. 23.2 mins. (according to Example 4). HRMS m/z 512.2772, calcd for $C_{30}H_{40}O_7$ 512.2774. APCIMS⁺ m/z 535 (12) [M+Na]⁺, 513 (7) [M+H]⁺, 495 (11) [M-OH]⁺, 395 (33) [M-angelate, -H₂O]⁺, 313 (100) [M-angelic acid, -angelate]⁺, 295 (85) [M-angelic acid, -angelate, -H₂O]⁺. APCIMS⁻ m/z 547 (100) [M ($C_{30}H_{40}O_7$)+Cl]⁻, 511 (3) [M-H]⁻, 311 (19) [M-H, -2angelic acid]⁻.

Example 7:

Concentration of the eluate fractions containing the peak at 157 mins. from the HPLC of fraction 5 from Example 2 according to Example 3 gave a colourless gum (29mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20cm HPTLC plate (eluent 40% MTB in 40-60° bp petroleum spirit). Concentration of the ether extract of the excised band with R_f 0.75 gave 5,17-bis(angeloyloxy)-3,4-dihydroxyingena-1,6-dien-9-one (compound 3) (7mg) as a colourless gum. HPLC r.t. 16.3 mins. (according to Example 4). HRMS m/z 512.2780, calcd for $C_{30}H_{40}O_7$ 512.2774. APCIMS⁺ m/z 535 (14) [M+Na]⁺, 513 (1) [M+H]⁺, 495 (7) [M-OH]⁺, 413 (34) [M-angelate]⁺, 393 (33) [M-angelate, -H₂O]⁺, 313 (65) [M-angelic acid, -angelate]⁺, 295 (100) [M-angelic acid, -angelate, -H₂O]⁺. APCIMS⁻ m/z 547 (100) [M ($C_{30}H_{40}O_7$)+Cl]⁻, 511 (2) [M-H]⁻.

Example 8:

Concentration of the eluate fractions containing the peak at 147 mins. from the HPLC of fraction 5 from Example 2 according to Example 3 gave a colourless gum (77mg). This was taken up in MTB and subjected to HPTLC on three Merck 10 x 20 HPTLC plates (eluent 40% MTB in 40-60° bp petroleum spirit). Concentration of the ether

- 6 -

extract of the excised band with R_f 0.57 gave 20-acetoxy-3,17-bis(angeloyloxy)-4,5-dihydroxyingena-1,6-dien-9-one (compound 4) (12mg) as a colourless gum. HPLC r.t. 22.0 mins. (according to Example 4). HRMS m/z 570.2828, calcd for $C_{32}H_{42}O_9$ 570.2829. APCIMS⁺ m/z 593 (29) [M+Na]⁺, 511 (30) [M-OAc]⁺, 471 (26) [M-angelate]⁺, 411 (18) [M-angelic acid, -OAc]⁺, 393 (30) [M-angelic acid, -OAc, -H₂O]⁺, 311 (100) [M-angelate, -angelic acid, -AcOH]⁺, 293 (96) [M-angelate, -angelic acid, -AcOH, -H₂O]⁺, 265 (33) [M-angelate, -angelic acid, -AcOH, -H₂O, -CO]⁺. APCIMS⁻ m/z 605 (35) [M+C1]⁻, 569 (35) [M-H]⁻, 509 (85) [M-H, AcOH]⁻, 452 (4) [M-angelic acid, -H₂O]⁻, 427 (32) [M-H, -angelic acid, -CH₂CHO]⁻, 410 (100) [M-angelic acid, -AcOH]⁻, 409 (53) [M-H, -angelic acid, -AcOH]⁻, 327 (33) [M-H, -2angelic acid, -CH₂CHO]⁻, 310 (73) [M-2angelic acid, -AcOH]⁻, 309 (38) [M-H, -2angelic acid, -AcOH]⁻, 292 (30) [M-2angelic acid, -AcOH, -H₂O]⁻.

Example 9:

Concentration of the eluate fractions containing the peak at 147 mins. from the HPLC of fraction 5 from Example 2 according to Example 3 gave a colourless gum (77mg). This was taken up in MTB and subjected to HPTLC on three Merck 10 x 20 HPTLC plates (eluent 40% MTB in 40-60° bp petroleum spirit, 3 sweeps). Concentration of the ether extract of the excised band with R_f 0.49 gave (compound 5) (13mg) as a colourless gum. HPLC r.t. 11.2 mins. (according to Example 4). HRMS m/z 570.2824, calcd for $C_{32}H_{42}O_9$ 570.2829. APCIMS⁺ m/z 593 (9) [M+Na]⁺, 571 (3) [M+H]⁺, 511 (28) [M-OAc]⁺, 411 (20) [M-angelic acid, -OAc]⁺, 311 (100) [M-angelate, -angelic acid, -AcOH]⁺, 293 (49) [M-angelate, -angelic acid, -AcOH, -H₂O]⁺. APCIMS⁻ m/z 569 (6) [M-H]⁻, 427 (20) [M-H, -angelic acid, -CH₂CHO]⁻, 410 (53) [M-angelic acid, -AcOH]⁻, 409 (31) [M-H, -angelic acid, -AcOH]⁻, 327 (10) [M-H, -2angelic acid, -CH₂CHO]⁻, 310 (100) [M-2angelic acid, -AcOH]⁻, 309 (43) [M-H, -2angelic acid, -AcOH]⁻.

Example 10:

Concentration of the eluate fractions containing the peak at 147 mins. from the HPLC of fraction 5 from Example 2 according to Example 3 gave a colourless gum (77mg).

- 7 -

This was taken up in MTB and subjected to HPTLC on three Merck 10 x 20 HPTLC plates (eluent 40% MTB in 40-60° bp petroleum spirit, 3 sweeps). Concentration of the ether extract of the excised band with R_f 0.26 gave 17,20-bis(angeloyloxy)-3,4,5-trihydroxyingena-1,6-dien-9-one (compound 6) (0.4mg) as a colourless gum. HPLC r.t. 14.6 mins. (according to Example 4). HRMS m/z 528.2714, calcd for $C_{30}H_{40}O_8$ 528.2723. APCIMS⁺ m/z 551 (15) [M+Na]⁺, 511 (12) [M-OH]⁺, 429 (23), 411 (20) [M-angelic acid, -OH]⁺, 393 (20), 311 (100) [M-angelate, -angelic acid, -H₂O]⁺, 293 (44) [M-angelate, -angelic acid, -2H₂O]⁺. APCIMS⁻ m/z 527 (7) [M-H]⁻, 427 (55) [M-H, -angelic acid]⁻, 410 (9) [M-angelic acid, -H₂O]⁻, 327 (19) [M-H, -2angelic acid]⁻, 310 (12) [M-2angelic acid, -H₂O]⁻.

Example 11:

Concentration of the eluate fractions containing the peak at 147 mins. from the HPLC of fraction 5 from Example 2 according to Example 3 gave a colourless gum (77mg). This was taken up in MTB and subjected to HPTLC on three Merck 10 x 20 HPTLC plates (eluent 40% MTB in 40-60° bp petroleum spirit, 3 sweeps). Concentration of the ether extract of the excised band with R_f 0.19 gave 5,17-bis(angeloyloxy)-3,4,20-trihydroxyingena-1,6-dien-9-one (compound 7) (2.1mg) as a colourless gum. HPLC r.t. 7.8 mins. (according to Example 4). HRMS m/z 528.2711, calcd for $C_{30}H_{40}O_8$ 528.2723. APCIMS⁺ m/z 551 (12) [M+Na]⁺, 511 (14) [M-OH]⁺, 429 (46), 411 (25) [M-angelic acid, -OH]⁺, 393 (18), 329 (26) [M-angelate, -angelic acid], 311 (100) [M-angelate, -angelic acid, -H₂O]⁺, 293 (58) [M-angelate, -angelic acid, -2H₂O]⁺. APCIMS⁻ m/z 527 (7) [M-H]⁻, 427 (65) [M-H, -angelic acid]⁻, 410 (52) [M-angelic acid, -H₂O]⁻, 409 (69) [M-H, -angelic acid, -H₂O]⁻, 327 (30) [M-H, -2angelic acid]⁻, 310 (40) [M-2angelic acid, -H₂O]⁻, 309 (100) M-H, -2angelic acid, -H₂O]⁻, 297 (15), 219 (46).

Example 12:

Concentration of the eluate fractions containing the peak at 208 mins. from the HPLC of fractions 5 and 6 from Example 2 according to Example 3 gave a colourless gum (17mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20

- 8 -

HPTLC plate (eluent 40% MTB in 40-60° bp petroleum spirit, 3 sweeps).

Concentration of the ether extract of the excised band with R_f 0.10 gave 2'*E*, 4'*E*, 6'*E*-3-angeloyloxy-17-deca-2',4',6'-trienoyloxy-4,5,20-trihydroxyingena-1,6-dien-9-one (compound 8) (2.4mg) as a colourless gum. HPLC r.t. 27.5 mins. (according to Example 4). HRMS m/z 594.3181, calcd for $C_{35}H_{46}O_8$ 594.3193. APCIMS⁺ m/z 617 (30) $[M + Na]^+$, 577 (78) $[M-OH]^+$, 477 (25) $[M\text{-angelate, -H}_2\text{O}]$, 329 (20) $[M\text{-angelate, -decatrienoic acid}]$, 311 (100) $[M\text{-angelate, -decatrienoic acid, -H}_2\text{O}]$, 293 (52) $[M\text{-angelate, -decatrienoic acid, -2H}_2\text{O}]$, 149 (36) $[C_9H_{13}CO]^+$. APCIMS⁻ m/z 629 (15) $[M+C1]^-$, 575 (21) $[M-H, H_2O]^-$, 494 (100) $[M\text{-angelic acid}]^-$, 493 (87) $[M-H, \text{-angelic acid}]^-$, 476 (45) $[M\text{-angelic acid, -H}_2\text{O}]^-$, 475 (42) $[M-H, \text{-angelic acid, -H}_2\text{O}]^-$. This sample was a mixture of two isomers at the decatrienoyl moiety.

Example 13:

Concentration of the eluate fractions containing the peak at 211 mins. from the HPLC of fractions 5 and 6 from Example 2 according to Example 3 gave a colourless gum (10mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20 HPTLC plate (eluent 40% MTB in 40-60° bp petroleum spirit, 3 sweeps).

Concentration of the ether extract of the excised band with R_f 0.14 gave 2'*E*, 4'*E*, 6'*E*-20-angeloyloxy-17-deca-2',4',6'-trienoyloxy-3,4,5-trihydroxyingena-1,6-dien-9-one (compound 9) (0.7mg) as a colourless gum. HPLC r.t. 11.2 mins. (according to Example 4). HRMS m/z 594.3198, calcd for $C_{35}H_{46}O_8$ 594.3193. APCIMS⁺ m/z 617 (22) $[M + Na]^+$, 577 (15) $[M-OH]^+$, 495 (83) $[M\text{-angelate}]$, 329 (18) $[M\text{-angelate, -decatrienoic acid}]$, 311 (75) $[M\text{-angelate, -decatrienoic acid, -H}_2\text{O}]$, 293 (42) $[M\text{-angelate, -decatrienoic acid, -2H}_2\text{O}]$, 149 (100) $[C_9H_{13}CO]^+$. APCIMS⁻ m/z 629 (10) $[M+C1]^-$, 593 (10) $[M-H]^-$, 494 (64) $[M\text{-angelic acid}]^-$, 493 (100) $[M-H, \text{-angelic acid}]^-$.

Example 14:

Concentration of the eluate fractions containing the peak at 208 mins. from the HPLC of fractions 5 and 6 from Example 2 according to Example 3 gave a colourless gum (17mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20

- 9 -

HPTLC plate (eluent 40% MTB in 40-60° bp petroleum spirit, 3 sweeps).

Concentration of the ether extract of the excised band with R_f 0.12 gave 2'E, 4'E, 6'E-5-angeloyloxy-17-deca-2',4',6'-trienoyloxy-3,4,20-trihydroxyingena-1,6-dien-9-one (compound 10) (0.1mg) as a colourless gum. HPLC r.t. 27.5 mins. (according to Example 4). HRMS m/z 594.3209, calcd for $C_{35}H_{46}O_8$ 594.3193. APCIMS⁺ m/z 617 (31) $[M + Na]^+$, 577 (62) $[M-OH]^+$, 495 (71) $[M\text{-angelate}]$, 329 (23) $[M\text{-angelate, -decatrienic acid}]$, 311 (100) $[M\text{-angelate, -decatrienic acid, -H}_2\text{O}]$, 293 (68) $[M\text{-angelate, -decatrienic acid, -2H}_2\text{O}]$, 149 (93) $[C_9H_{13}CO]^+$. APCIMS⁻ m/z 629 (7) $[M+Cl]^-$, 593 (6) $[M-H]^-$, 494 (45) $[M\text{-angelic acid}]^-$, 493 (46) $[M\text{-H, -angelic acid}]^-$, 475 (30) $[M\text{-H, -angelic acid, -H}_2\text{O}]^-$.

Example 15:

Concentration of the eluate fractions containing the peak at 224 mins. from the HPLC of fractions 5 and 6 from Example 2 according to Example 3 gave a colourless gum (15mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20 HPTLC plate (eluent 40% MTB in 40-60° bp petroleum spirit). Concentration of the ether extract of the excised band with R_f 0.73 gave 2'E, 4'E, 6'E-3-angeloyloxy-17-deca-2',4',6'-trienoyloxy-4,5-dihydroxyingena-1,6-dien-9-one (compound 11) (0.8mg) as a colourless gum. HPLC r.t. 28.7 mins. (according to Example 4). HRMS m/z 578.3228, calcd for $C_{35}H_{46}O_7$ 578.3244. APCIMS⁺ m/z 601 (7) $[M+Na]^+$, 561 (6) $[M-OH]^+$, 461 (7) $[M\text{-angelate, -H}_2\text{O}]$, 313 (38) $[M\text{-angelate, -decatrienic acid}]$, 295 (34) $[M\text{-angelate, -decatrienic acid, -H}_2\text{O}]$, 149 (55) $[C_9H_{13}CO]^+$, 59 (100). This sample was a mixture of isomers at the decatrienoyl moiety. This compound (1.1mg) can also be obtained from the eluate fractions containing the peak at 220 mins. from the HPLC of fractions 5 and 6 from Example 2 according to Example 3.

Example 16:

Concentration of the eluate fractions containing the peak at 224 minutes from the HPLC of fractions 5 and 6 from example 2 according to example 3 gave a colourless gum (15mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20 HPTLC plate (eluent 40% MTB in 40-60° bp petroleum spirit). Concentration of the

- 10 -

ether extract of the excised band with R_f 0.53 gave 2'E, 4'E, 6'E-5-angeloyloxy-17-deca-2',4',6'-trienoyloxy-3,4-dihydroxyingena-1,6-dien-9-one (compound 12) (0.4mg) as a colourless gum. APCIMS⁺ m/z 601 (4) $[M(C_{35}H_{46}O_7)+Na]^+$, 313 (39) $[M\text{-angelate, -decatrienoic acid}]$, 295 (26) $[M\text{-angelate, -decatrienoic acid, -H}_2\text{O}]$, 149 (65) $[C_9H_{13}CO]^+$. This sample was a mixture of isomers at the decatrienoyl moiety. A partial ¹H NMR of one of these is given in Table 3.

Example 17:

Fraction 4 from example 2 was subjected in *ca* 100mg quantities to HPLC on a 22mm ID x 250mm Alltima C18 5u column according to the following conditions:

70% methanol isocratic for 2 min increasing from 0-9ml/min, then increasing linearly to 85% methanol at 9ml/min over 108min, then again linearly to 100% methanol at 10ml/min over 5 minutes, and isocratic at 100% methanol for 20 minutes.

Concentration of the eluate fractions containing the peak at 87 minutes gave a colourless gum (5mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20 HPTLC plate (eluent 20% MTB in 40-60° bp petroleum spirit).

Concentration of the ether extract of the excised band with R_f 0.35 gave 5,20-bis(acetoxy)-3,17-bis(angeloyloxy)-4-hydroxyingena-1,6-dien-9-one (compound 13) (3.7mg) as a colourless gum. HPLC r.t. 23.8min (according to example 4). HPLC r.t. 23.8min (according to example 4). HRMS m/z 612.2917, calcd for $C_{34}H_{44}O_{10}$ 612.2935. APCIMS⁺ m/z 635 (45) $[M+Na]^+$, 630 (100) $[M+NH_4]^+$, 613 (17) $[M+H]^+$, 553 (43) $[M\text{-OAc}]^+$, 513 (26) $[M\text{-angelate}]^+$, 453 $[M\text{-OAc, -angelic acid}]^+$, 393 (35) $[M\text{-OAc, -AcOH, -angelic acid}]^+$, 353 (37) $[M\text{-OAc, -2angelic acid}]^+$, 311 (20), 293 (48) $[M\text{-OAc, -AcOH, -2angelic acid}]^+$, 265 (12) $[M\text{-OAc, -AcOH, -2angelic acid, -CO}]^+$.

Example 18:

Concentration of the eluate fractions containing the peak at 147 minutes from the HPLC of fraction 5 from example 2 according to example 3 gave a colourless gum (77mg). This was taken up in MTB and subjected to HPTLC on three Merck 10 x 20 HPTLC plates (eluent 40% MTB in 40-60° bp petroleum spirit, 3 sweeps).

- 11 -

Concentration of the ether extract of the excised band with R_f 0.28 gave 5-acetoxy-3,17-bis(angeloyloxy)-4,20-dihydroxyingena-1,6-dien-9-one (compound 14) (1.5mg) as a colourless gum. HPLC r.t. 21.4min (according to example 4). HRMS m/z 570.2826, calcd for $C_{32}H_{42}O_9$ 570.2829. APCIMS⁺ m/z 593 (10) $[M+Na]^+$, 571 (3) $[M+H]^+$, 511 (26) $[M-OAc]^+$, 411 (21) $[M\text{-angelic acid, -OAc}]^+$, 311 (100) $[M\text{-angelate, -angelic acid, -AcOH}]^+$, 293 (65) $[M\text{-angelate, -angelic acid, -AcOH, -H}_2\text{O}]^+$. APCIMS⁻ m/z 569 (5) $[M-H]^-$, 427 (100) $[M\text{-H, -angelic acid, -CH}_2\text{CHO}]^-$, 410 (48) $[M\text{-angelic acid, -AcOH}]^-$, 409 (45) $[M\text{-H, -angelic acid, -AcOH}]^-$, 327 (45) $[M\text{-H, -2angelic acid, -CH}_2\text{CHO}]^-$, 310 (59) $[M\text{-2angelic acid, -AcOH}]^-$, 309 (65) $[M\text{-H, -2angelic acid, -AcOH}]^-$.

Example 19:

Concentration of the eluate fractions containing the peak at 130 minutes from the HPLC of fraction 6 from example 2 according to example 3 gave a colourless gum (35mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20cm HPTLC plate (eluent 20% MTB in 40-60° bp petroleum spirit, 3 sweeps).

Concentration of the ether extract of the excised band with R_f 0.22 gave 5-angeloyloxy-3,4-dihydroxyingena-1,6-dien-9-one (compound 15) (8mg) as a colourless gum. HPLC r.t. 11.2min (according to example 4). HRMS m/z 414.2408, calcd for $C_{25}H_{34}O_5$ 414.2406. APCIMS⁺ m/z 437 (15) $[M+Na]^+$, 415 (4) $[M+H]^+$, 397 (10) $[M-OH]^+$, 315 (41) $[M\text{-angelate}]^+$, 297 (100) $[M\text{-angelate, -H}_2\text{O}]^+$, 269 (38) $[M\text{-angelate, -H}_2\text{O, -CO}]^+$. APCIMS⁻ m/z 827 (100) $[2M-H]^-$, 449 (60) $[M+Cl]^-$, 413 (3) $[M-H]^-$.

Example 20:

Concentration of the eluate fractions containing the peak at 130 minutes from the HPLC of fraction 6 from example 2 according to example 3 gave a colourless gum (35mg). This was taken up in MTB and subjected to HPTLC on a Merck 10 x 20cm HPTLC plate (eluent 20% MTB in 40-60° bp petroleum spirit, 3 sweeps).

Concentration of the ether extract of the excised band with R_f 0.33 gave 3-angeloyloxy-4,5-dihydroxyingena-1,6-dien-9-one (compound 16) (9mg) as a

- 12 -

colourless gum. HPLC r.t. 19.2min (according to example 4). HRMS m/z 414.2410, calcd for $C_{25}H_{34}O_5$ 414.2406. APCIMS⁺ m/z 437 (7) [M+Na]⁺, 415 (10) [M+H]⁺, 397 (8) [M-OH]⁺, 315 (100) [M-angelate]⁺, 297 (96) [M-angelate, -H₂O]⁺, 269 (20) [M-angelate, -H₂O, -CO]⁺. APCIMS⁻ m/z 449 (100) [M+Cl]⁻, 413 (1) [M-H]⁻.

- 13 -

Table 1. ^1H NMR data (CD_2Cl_2 , 500MHz) for compounds 1-7

	δ (ppm)						
H	1	2	3	4	5	6	7
1	6.00 bs	6.02q	5.93 q	6.01 q	5.92 q	5.88 bd	5.89 q
3	5.58 s	5.50 bs	3.72 d	5.57 bs	3.77 d	4.41 d	3.77 d
5	4.02 bd	3.67 bd	5.22 bs	3.87 bd	5.38 bs	3.66 bd	5.37 bs
7	6.00 bd	5.71 ddq	5.83 ddq	6.06 bd	6.21 bd	6.08 bd	6.16 bd
8	4.26 bdd	4.14 bdd	4.34 bdd	4.21 bdd	4.42 bdd	4.23	4.42 bdd
11	2.52 ddq	2.45 ddq	2.38 ddq	2.49 ddq	2.44 ddq	2.32	2.45 ddq
12	2.34 ddd	2.33 ddd	2.36 ddd	2.32 ddd	2.33 ddd	2.36 ddd	2.34 ddd
12'	1.85 ddd	1.84 ddd	1.85 ddd	1.86 ddd	1.85 ddd	1.85 ddd	1.86 ddd
13	0.94 ddd	0.91 ddd	0.94 ddd	0.95 ddd	0.96 ddd	0.95 ddd	0.96 ddd
14	1.11 dd	1.08 dd	1.10 dd	1.13 dd	1.18 dd	1.13 dd	1.17 dd
16	1.15 s	1.14 s	1.15 s	1.15 s	1.16 s	1.15	1.15
17	4.35 d	4.32 d	4.33 d	4.31 d	4.30 s	4.31	4.33
17'	4.18 d	4.18 d	4.27 d	4.19 d		4.26	4.30
18	0.96 d	0.96 d	0.96 d	0.97 d	0.97 d	0.96	0.98 d
19	1.79 d	1.79 d	1.80 d	1.80 d	1.81 d	15.1 bs	1.81 d
20	4.16 bdd	1.76 bs	1.55 bs	4.73 bd	4.51 bd	4.74	3.90 bd
20'	4.09 bdd			4.44 bd	4.25 d	4.57	3.85 bd
3-OAng 2'-Me	1.90dq	1.91 dq		1.91 dq			
3-OAng 3'	6.16qq	6.17 qq		6.17 qq			
3-OAng 4'	1.99dq	1.99 dq		1.99 dq			
5-OAng 2'-Me			1.94 dq		1.91 dq		1.94 dq
5-OAng 3'			6.17qq		6.18 qq		6.20 qq
5-OAng 4'			1.99dq		1.99 dq		2.00 dq
17-OAng 2'-Me	1.88dq	1.88 dq	1.89 dq	1.88 dq	1.89 dq	1.88 dq	1.88 dq
17-OAng 3'	6.08qq	6.07 qq	6.09qq	6.08 qq	6.09 qq	6.09 qq	6.09 qq
17-OAng 4'	1.97dq	1.97 dq	1.98dq	1.96 dq	1.98 dq	1.98 dq	1.98 dq
20-OAng 2'-Me						1.86 dq	
20-OAng 3'						6.05 qq	
20-OAng 4'						1.92 dq	
20-OAc				2.04			
3-OH			2.44 d		2.53 d	3.02 bd	2.42 d
4-OH	3.58	3.51 bs	3.92 bs	3.52 s	3.97	#	3.88
5-OH	4.13 d	3.12 bd		3.43 d		3.10 d	
20-OH	2.20 t						1.91 m
	J (Hz)						
J 1,19	1	1.4	1.4	1.4	1	1.4	1
J 3, 3-OH			6		6	6	6
J 5,5-OH	5	7		7		11	
J 7,8	4	5	4	5	4	5	5
J 8,14	12	12	12	12	12	12	12
J 11,12	3	3	3	3	3	3	3
J 11,12'	5	5	4	5	5	6	6
J 11,18	7	7	7	7	7	7	7
J 12,12'	16	16	16	16	16	16	16
J 12,13	9	9	9	9	9	9	9
J 12',13	6	6	6	6	#	#	6
J 13,14	8	8	8	8	8	8	8
J 17,17'	12	12	12	12		12	12
J 20,20'	12			12	12	13	13
J 20,20-OH	6						6
J 20',20-OH							
OAng J2'-Me,3'	1.5	1.5	1.4	1.4	1.4	1	1.4
OAng J2'-Me,4'	1.5	1.5	1.4	1.4	1.4	1	1.4
OAng J3',4'	7	7	7	7	7	7	7

unable to determine value

- 14 -

Table 2. ¹³C NMR data (CD₂Cl₂, 125MHz) for compounds 1-7

C	δ (ppm)						
	1	2	3	4	5	6	7
1	132.2	132.6	130.2	132.2	129.7	129.4	129.4
2	136.9	136.5	140.0	136.9	140.3	139.3	140.1
3	82.7	83.2	80.7	82.8	80.0	80.4	80.2
4	85.4	85.5	85.6	85.4	85.7	84.4	85.7
5	76.9	77.7	76.9	74.8	75.0	73.6	74.8
6	140.6	138.4	135.8	137.1	134.7	137.7	139.3
7	127.3	123.6	125.3	128.5	130.8	126.5	127.8
8	43.6	43.5	44.1	43.7	44.4	43.7	44.0
9	206.0	206.1	206.5	205.7	206.2	205.2	206.0
10	72.5	72.5	73.4	72.6	73.8	72.6	73.7
11	39.0	39.3	39.9	39.1	39.6	39.8	39.6
12	31.3	31.3	31.3	31.3	31.5	30.7	31.6
13	24.7	24.5	24.8	24.7	24.7	24.0	24.8
14	24.0	24.3	24.4	24.0	24.1	23.5	24.2
15	28.2	28.1	28.2	28.1	28.1	27.6	28.1
16	24.8	24.8	24.3	24.8	24.7	15.1	24.8
17	65.6	65.7	65.7	65.5	65.6	65.2	65.8
18	17.1	17.0	17.3	17.2	17.7	16.7	17.7
19	15.9	16.1	15.7	15.9	15.6	15.1	15.5
20	67.4	22.2	21.8	66.9	66.8	65.9	65.3
3-OAng 1'	168.8	168.7		168.6			
3-OAng 2'	127.8	127.7		127.7			
3-OAng 2'-Me	21.0	21.0		21.0			
3-OAng 3'	140.0	140.3		140.4			
3-OAng 4'	16.23	16.3		16.3			
5-OAng 1'			167.6		167.3		168.2
5-OAng 2'			127.6		127.4		127.4
5-OAng 2'-Me			21.0		21.0		20.9
5-OAng 3'			140.4		141.1		141.2
5-OAng 4'			16.3		16.3		16.2
17-OAng 1'	168.6	168.6	168.7	168.5	168.6	168.2	168.6
17-OAng 2'	128.5	128.6	128.5	128.4	128.4	127.9	128.4
17-OAng 2'-Me	21.0	21.0	21.0	21.0	20.9	20.5	21.0
17-OAng 3'	138.1	138.0	138.0	138.1	138.2	137.8	138.1
17-OAng 4'	16.15	15.9	16.1	16.1	16.2	15.7	16.4
20-OAc 1'				170.9			
20-OAc 2'				21.3			
20-OAng 1'						167.6	
20-OAng 2'						127.9	
20-OAng 2'-Me						20.4	
20-OAng 3'						137.8	
20-OAng 4'						15.5	

- 15 -

Table 3. ¹H NMR data (CD₂Cl₂, 500MHz) for compounds 8-12

H	δ (ppm)						
	8 (isomer 1)	8 (isomer 2)	9	10	11 (isomer 1)*	11(isomer 2)*	12*
1	6.00	6.00	5.89 bs	5.90 bs	6.02 bs	6.02 bs	5.94 bs
3	5.59	5.59	3.87 bs	3.76 d	#	#	#
5	4.01	4.01	3.66 bd	#	3.66 bd	3.66 bd	#
7	6.01	6.01	6.07 bd	6.17 bd	5.72 bm	5.72 bm	#
8	4.25	4.25	4.23 bdd	4.40 bdd	4.13 bd	4.13 bd	#
11	2.52 ddq	2.52 ddq	2.45 ddq	2.44 ddq	2.43 ddq	2.43 ddq	#
12	2.33 ddd	2.33 ddd	2.33 ddd	2.33 ddd	2.32 ddd	2.32 ddd	#
12'	1.86 ddd	1.86 ddd	1.85 ddd	1.86 ddd	1.85 ddd	1.85 ddd	#
13	0.94 ddd	0.94 ddd	0.94 ddd	0.96 ddd	0.91 ddd	0.91 ddd	#
14	1.10 dd	1.10 dd	1.10 dd	1.15 dd	1.06 dd	1.06 dd	#
16	1.16 s	1.16 s	1.15 s	1.15 s	1.14 s	1.14 s	#
17	4.35	4.35	4.29 d	4.32 d	4.31 d	4.31 d	4.32
17'	4.16	4.16	4.23 d	4.26 d	4.19 d	4.19 d	4.26
18	0.96	0.96	0.96 d	0.98 d	0.96 d	0.96 d	0.95
19	1.79	1.79	1.83 bs	1.81 bs	1.78 bs	1.78 bs	1.80 bs
20	4.14	4.14	4.74 d	3.89 bm	1.76 bs	1.76 bs	#
20'	4.08	4.08	4.54 d	3.86 bm			
3-OAng 2'-Me	1.90 dq	1.90 dq			1.91 bs	1.91 bs	
3-OAng 3'	6.16 qq	6.16 qq			6.17 bq	6.17 bq	
3-OAng 4'	1.99 dq	1.99 dq			1.99 bd	1.99 bd	
5-OAng 2'-Me				1.94 dq			1.94 bs
5-OAng 3'				6.21 qq			6.18 bq
5-OAng 4'				2.00 dq			1.99 bd
20-OAng 2'-Me			1.85 dq				
20-OAng 3'			6.05 qq				
20-OAng 4'			1.91 dq				
decatrienoyl-2'	5.88	5.86	5.84 d	5.85 d	5.85	5.88	#
decatrienoyl-3'	7.74	7.27	7.27 dd	7.29 dd	7.27	7.74	7.29
decatrienoyl-4'	6.00	6.24	6.24 dd	6.27 dd	6.25	6.00	6.25
decatrienoyl-5'	6.31	6.55	6.56 dd	6.57 dd	6.57	6.31	#
decatrienoyl-6'	6.65	6.17	6.16 dd	#	6.15	6.65	#
decatrienoyl-7'	5.95	5.96	5.97 dt	#	5.98	5.94	5.98
decatrienoyl-8'	2.14	2.14	2.14 dt	2.14	2.11	2.16	#
decatrienoyl-9'	1.44	1.44	1.43 tq	1.43	1.43	1.45	#
decatrienoyl-10'	0.91	0.91	0.91 t	0.92	0.92	0.92	#
3-OH			#	2.38			#
4-OH	#	#	#	#	#	#	#
5-OH	4.13	4.13	2.95		3.05 d		
20-OH				1.88			
J (Hz)							
J 1,19	#	#	#	#	#	#	#
J 3, 3-OH			#	6			#
J 5,5-OH	5	5	10		8	8	
J 7,8	#	#	6	#	#	#	#
J 8,14	#	#	13	10	#	#	#
J 11,12	#	#	4	#	#	#	#
J 11,12'	#	#	#	#	#	#	#
J 11,18	7	7	7	7	#	#	#
J 12,12'	16	16	16	16	#	#	#

- 16 -

J 12,13	#	#	9	9	#	#	#
J 12',13	#	#	#	#	#	#	#
J 13,14	#	#	#	#	#	#	#
J 17,17'	12	12	12	#	12	12	#
J 20,20'	13	13	13	#			
J 20,20-OH, J20',20-OH	#	#		#			
OAng J2'-Me,3'	#	#	#	#	#	#	#
OAng J2'-Me,4'	#	#	#	#	#	#	#
OAng J3',4'	7	7	7	7	7	7	7
decatrienoyl J 2'3'	15	16	14	15	14	14	#
decatrienoyl J 3',4'	11	10	13	11	12	12	#
decatrienoyl J 4',5'	11	13	13	13	14	11	#
decatrienoyl J 5',6'	11	11	10	11	11	11	#
decatrienoyl J 6',7'	16	13	14	#	14	14	#
decatrienoyl J 7',8'	8	8	7	#	7	7	#
decatrienoyl J 8',9'	7	7	6	#	#	#	#
decatrienoyl J 9',10'	7	7	7	7	7	7	#

*incomplete spectrum, most J values not determinable

unable to determine value

- 17 -

Table 4. ^{13}C NMR data (CD_2Cl_2 , 125MHz) for compounds 8-16 (I8)

C	δ (ppm)				
	8*	13	14*	15(Pe3)	16(I8)
1	132.2	132.1	132.2	127.2	133.0
2	136.3	136.6	136.4	139.7	136.1
3	82.5	82.0	82.2	80.2	83.4
4	#	86.3	86.2	85.1	85.5
5	76.8	75.1	75.4	76.5	77.8
6	#	134.3	140.4	135.0	138.0
7	127.1	131.1	128.0	125.6	124.4
8	#	43.7	43.5	44.0	43.9
9	#	204.8	#	207.0	206.7
10	71.8	72.5	72.5	72.9	72.4
11	38.5	39.1	39.2	39.5	39.4
12	31.0	31.3	31.3	31.0	31.5
13	#	23.8	24.5	23.1	23.5
14	24.1	24.5	23.9	23.3	23.9
15	27.7	28.3	28.3	24.0	24.5
16	24.0	24.7	23.9	28.2	28.8
17	65.2	65.6	65.7	15.3	15.6
18	16.5	16.9	16.8	17.2	16.2
19	15.2	15.7	15.6	15.2	15.7
20	67.0	66.1	65.0	21.4	22.2
3-OAng 1'	168.1	169.3	169.3		168.8
3-OAng 2'	127.1	127.9	128.5		127.7
3-OAng 2'-Me	20.3	21.0	21.0		21.0
3-OAng 3'	139.3	139.7	139.7		140.3
3-OAng 4'	15.4	16.1	16.2		15.9
5-OAng 1'				167.3	
5-OAng 2'				129.9	
5-OAng 2'-Me				20.4	
5-OAng 3'				139.2	
5-OAng 4'				15.7	
17-OAng 1'	168.6	168.6			
17-OAng 2'	128.5	128.5			
17-OAng 2'-Me	21.0	21.0			
17-OAng 3'	138.1	138.1			
17-OAng 4'	16.1	16.2			
5-OAc 1'	171.3	172.1			
5-OAc 2'	21.2	21.2			
20-OAc 1'	170.9				
20-OAc 2'	21.2				
decatrienyl-1'	#				
decatrienyl-2'	#				
decatrienyl-3'	#				
decatrienyl-4'	#				
decatrienyl-5'	#				
decatrienyl-6'	#				
decatrienyl-7'	#				
decatrienyl-8'	#				
decatrienyl-9'	#				
decatrienyl-10'	#				

*incomplete spectrum

unable to determine value

- 18 -

Table 5. ¹H NMR data (CD₂Cl₂, 500MHz) for compounds 13-16 (18)

H	δ (ppm)			
	13	14	15 (Pe3)	16 (18)
1	6.05 bs	6.05 bs	5.93 q	6.03 q
3	5.05 s	5.02 s	3.73 bd	5.48 s
5	5.38 bs	5.38 bs	5.21 bs	3.68 bs
7	6.21 bd	6.14 bd	5.84 dq	5.72 dq
8	4.39 bdd	4.38 bdd	4.19 ddt	4.01 bd
11	2.55 ddq	2.54 ddq	2.37 ddq	2.44 ddq
12	2.34 ddd	2.36 ddd	2.29 ddd	2.24 ddd
12'	1.85 ddd	1.84 ddd	1.75 ddd	1.74 ddd
13	0.96 ddd	0.95 ddd	0.69 ddd	0.66 ddd
14	1.14 dd	1.13 dd	0.88 dd	0.85 dd
16	1.15 s	1.15 s	1.05 s	1.04 s
17	4.27 d	4.31 d	1.12 s	1.07 s
17'	4.18 d	4.19 d		
18	0.98 d	0.98 d	0.95 d	0.95 d
19	1.76 d	1.75 d	1.80 d	1.79 d
20	4.55 d	3.85 bd	1.56 bs	1.77 bs
20'	4.16 d			
3-OAng 2'-Me	1.88 dq	1.87 dq		1.91 dq
3-OAng 3'	6.13 qq	6.15 qq		6.18 qq
3-OAng 4'	1.97 dq	1.97 dq		1.99 dq
5-OAng 2'-Me			1.93 dq	
5-OAng 3'			6.17 qq	
5-OAng 4'			1.99 dq	
17-OAng 2'-Me	1.88 dq	1.88 dq		
17-OAng 3'	6.08 qq	6.07 qq		
17-OAng 4'	1.97 dq	1.97 dq		
20-OAng 2'-Me				
20-OAng 3'				
20-OAng 4'				
5-OAc	2.23 s	2.28		
20-OAc	1.98 s			
3-OH			2.40 bd	
4-OH	3.40 bs	3.41 bs	3.91 bs	3.45 s
5-OH				3.14 bs
20-OH		1.78 m		
J 1,19	1	1	1.4	1.4
J 3, 3-OH			6	
J 5,5-OH				
J 7,8	4	5	4	5
J 7,20			1.4	1.4
J 8,14	12	12	12	11
J 8,20			2	1.4
J 11,12	3	3	3	3
J 11,12'	6	7	5	5
J 11,18	7	7	7	7
J 12,12'	16	16	16	16
J 12,13	10	10	9	9
J 12',13	4	5	6	6
J 13,14	8	8	8	8
J 17,17'	11	11		
J 20,20'	12			
J 20,20-OH, J 20',20-OH		#		
OAng J2'-Me,3'	1.4	1.4	1.4	1.4
OAng J2'-Me,4'	1.4	1.4	1.4	1.4
OAng J3',4'	7	7	7	7

- 19 -

Table 6: Examples of novel and known (previously described structures but anticancer activity previously not known) angeloyl substituted ingenanes from *Euphorbia paralias*, not *peplus*, *hirta* or *drummondii*.

Structures of Compounds 1-16

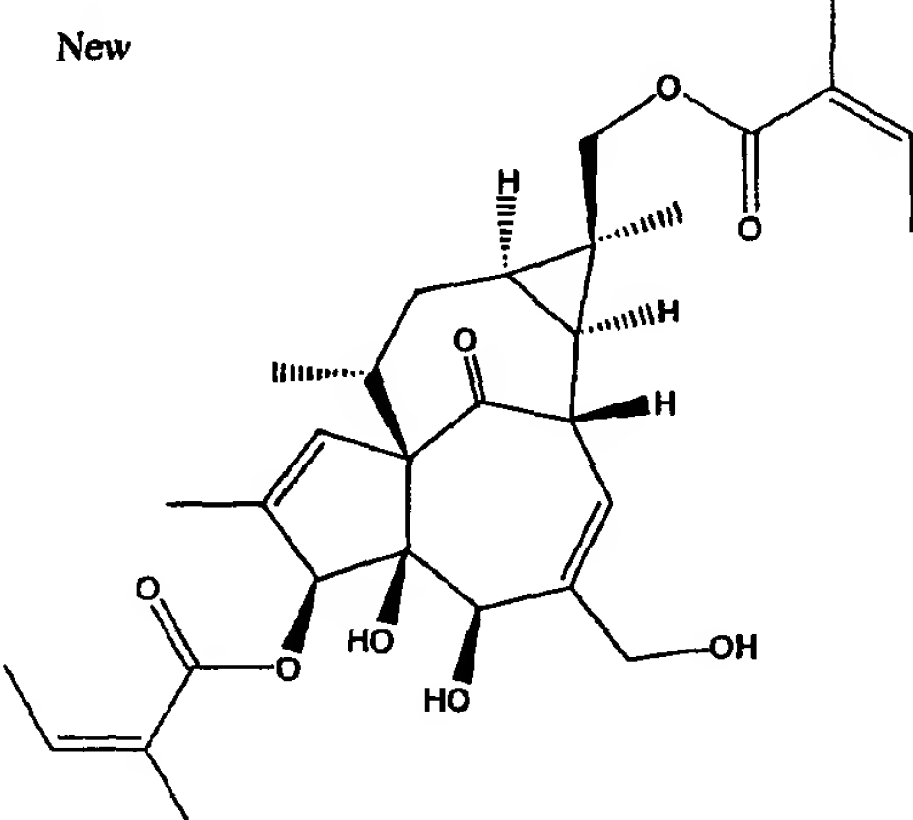
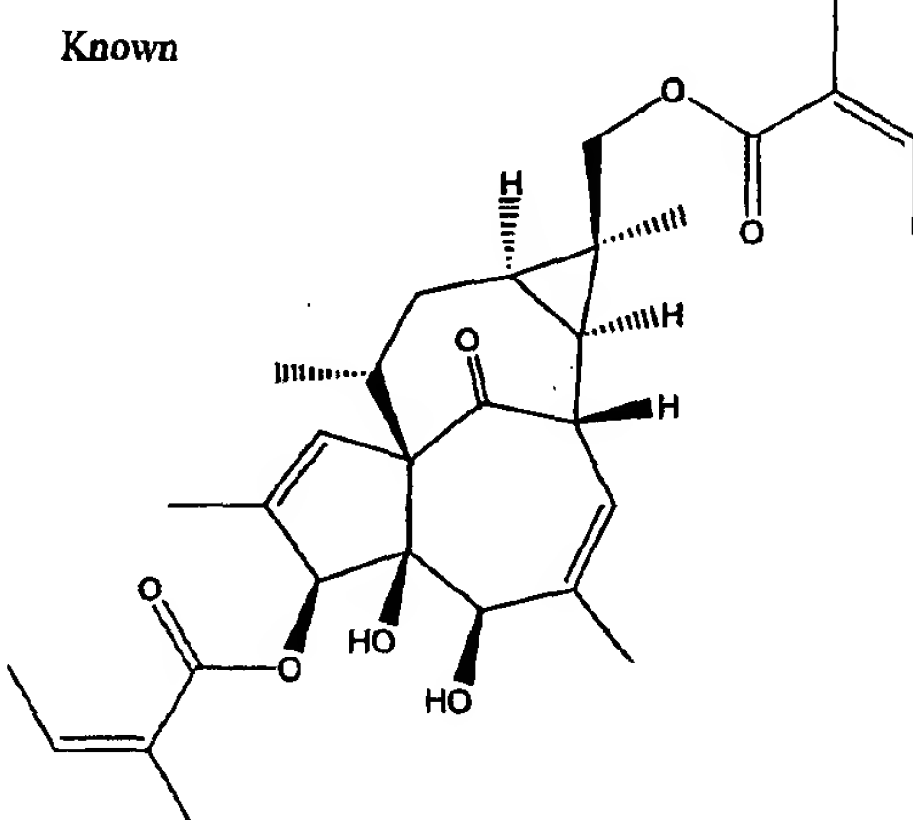
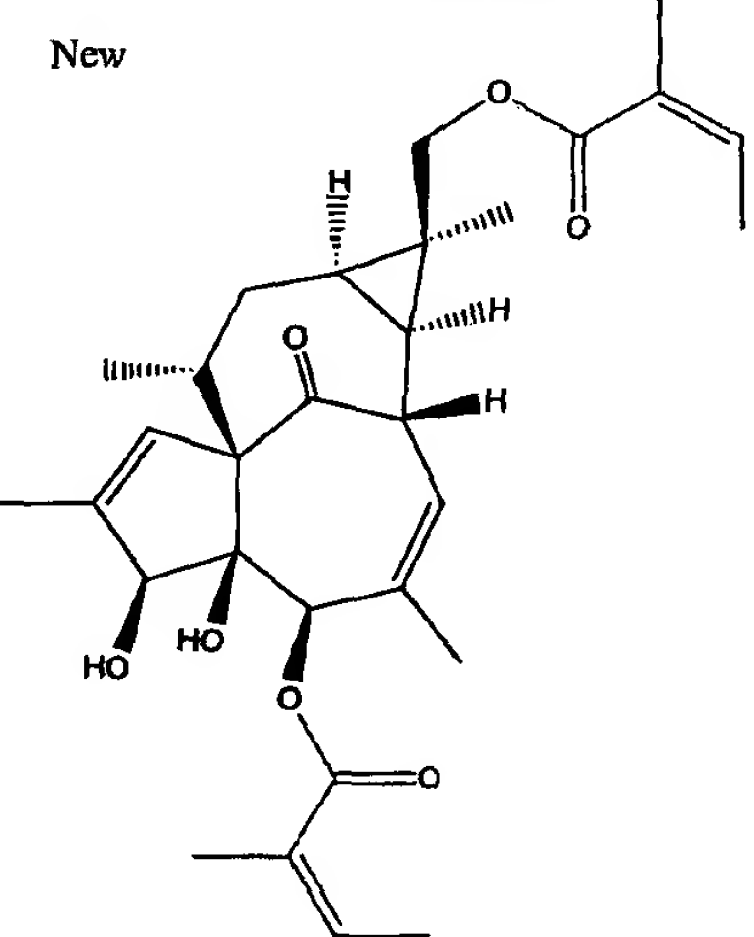
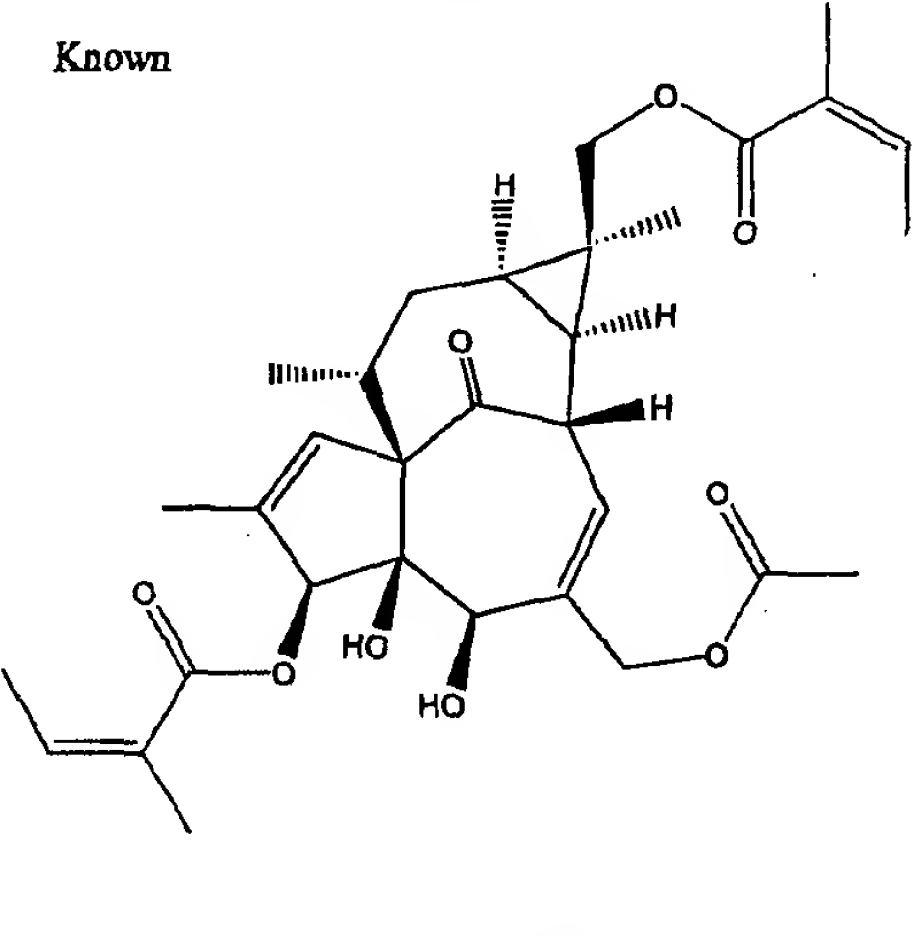
<p>New</p>  <p>compound 1</p>	<p>Known</p>  <p>compound 2</p>
<p>New</p>  <p>compound 3</p>	<p>Known</p>  <p>compound 4</p>

Table 6: Structures of Compounds 1-16 (c/fwd)

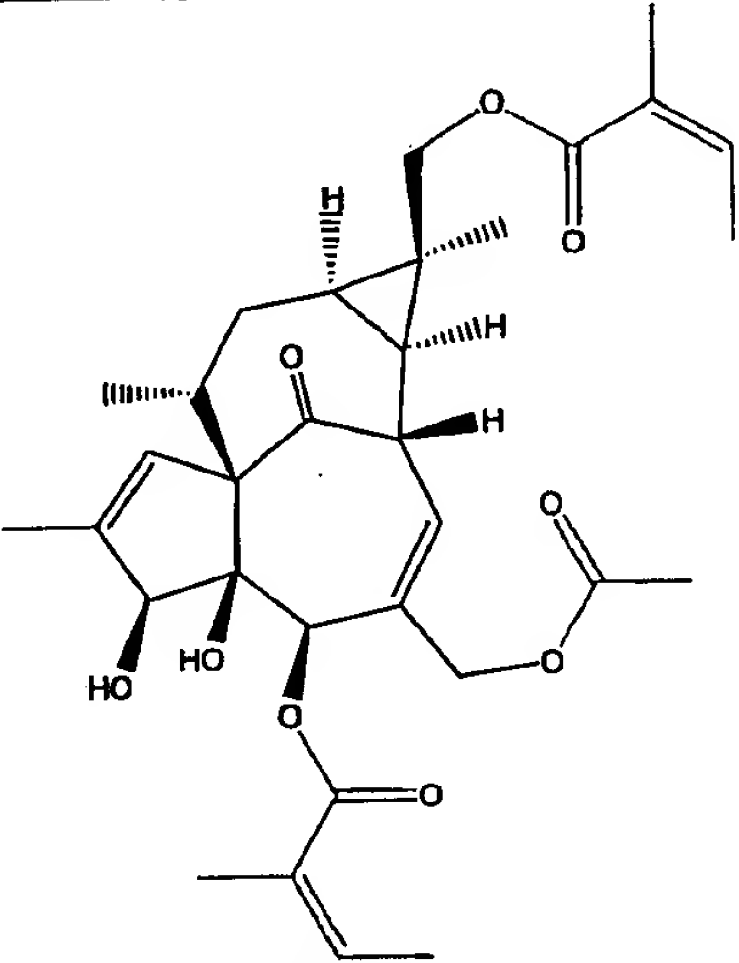
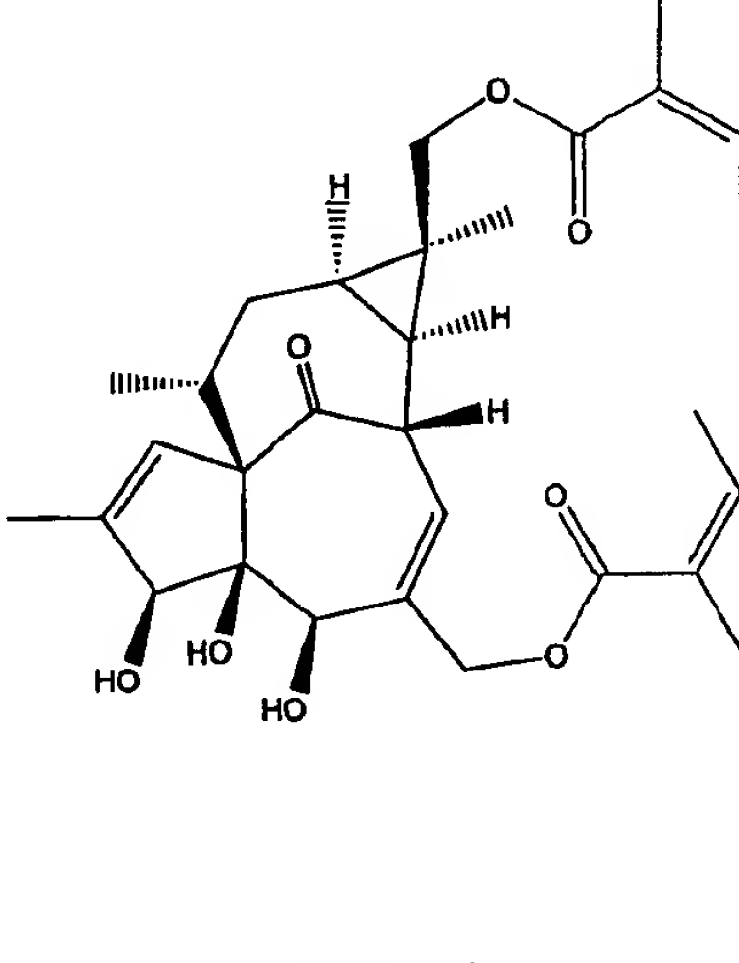
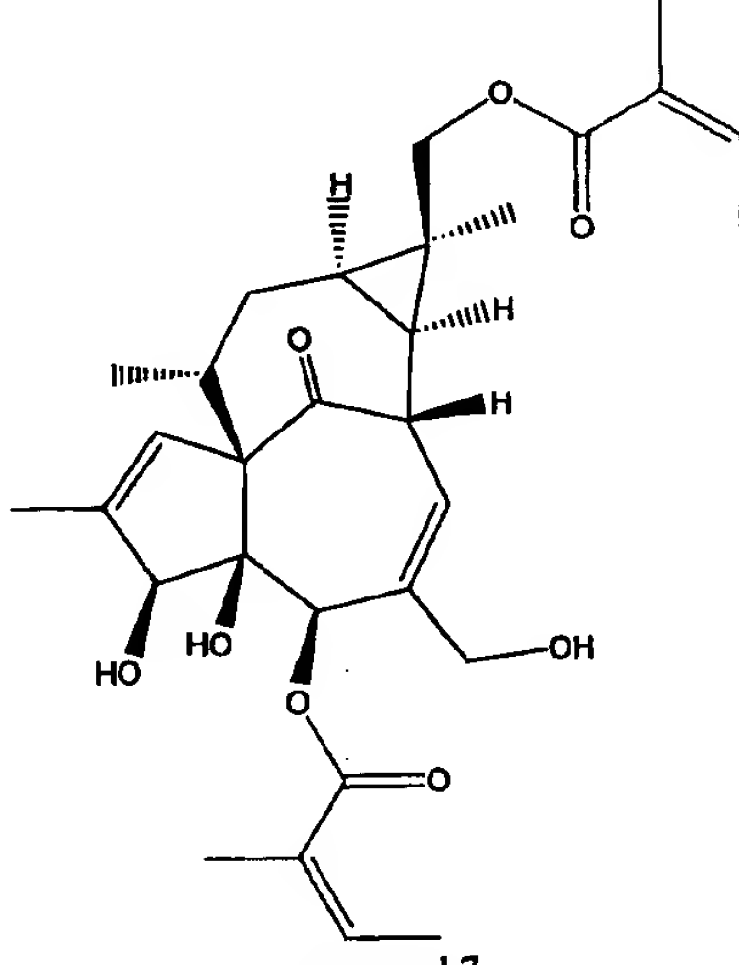
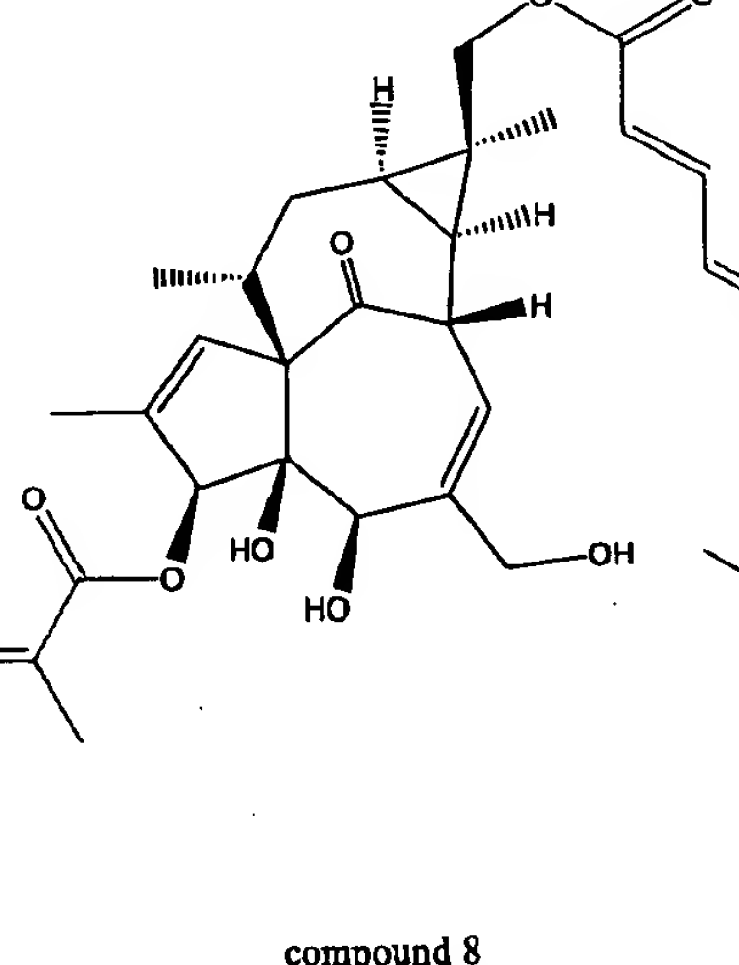
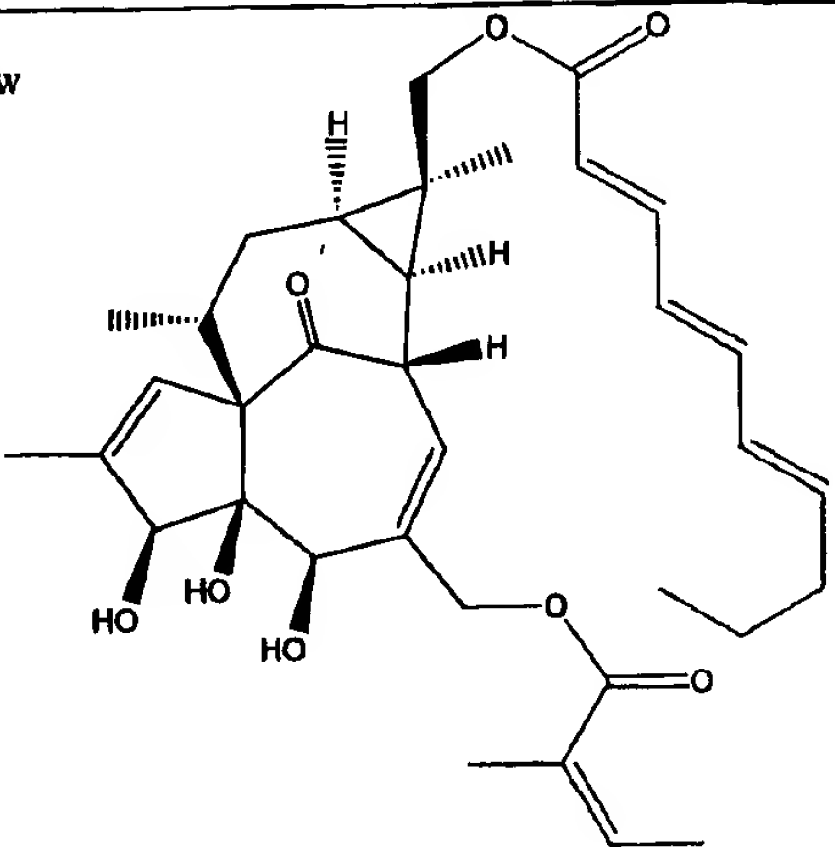
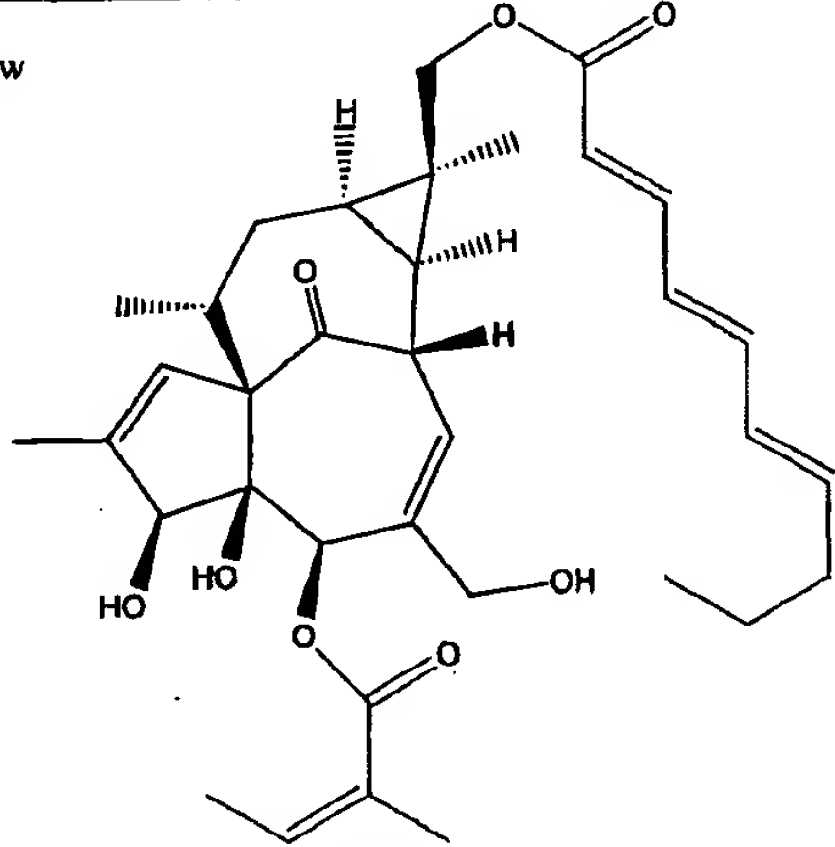
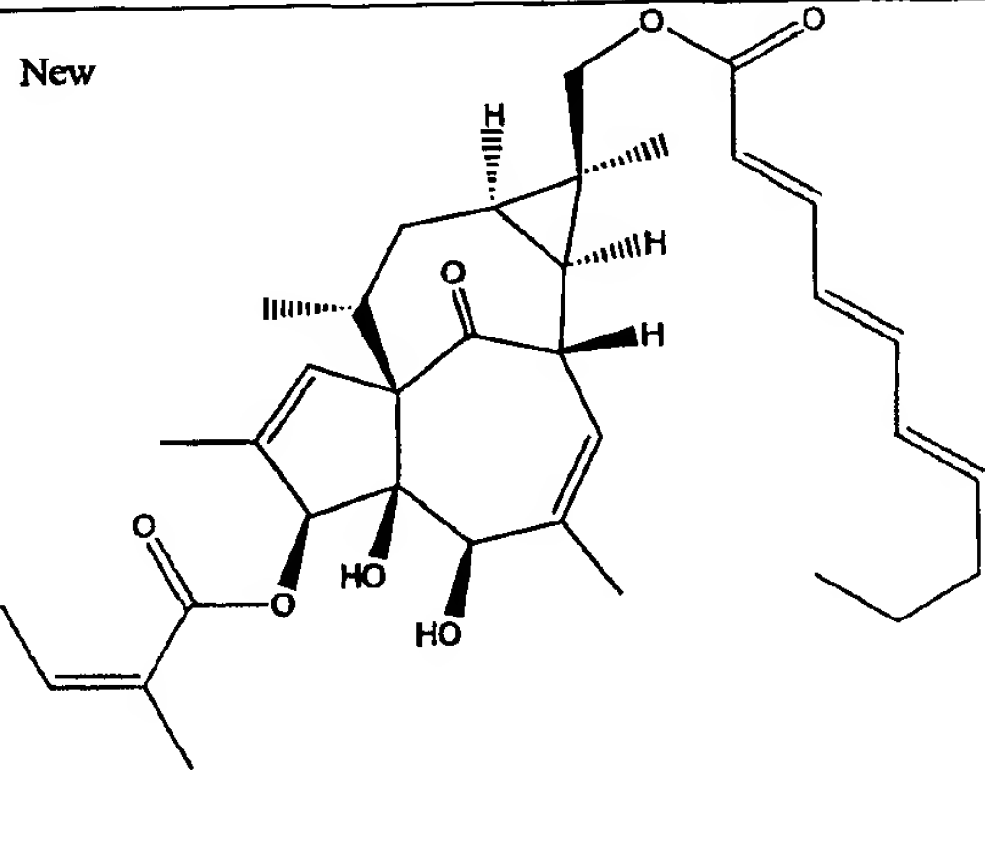
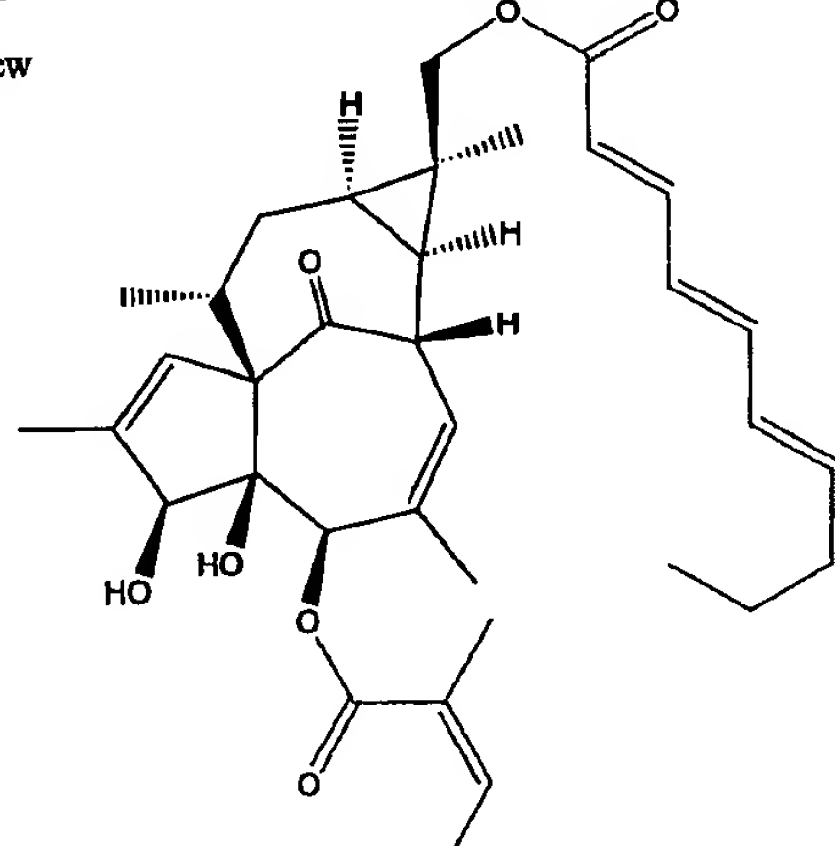
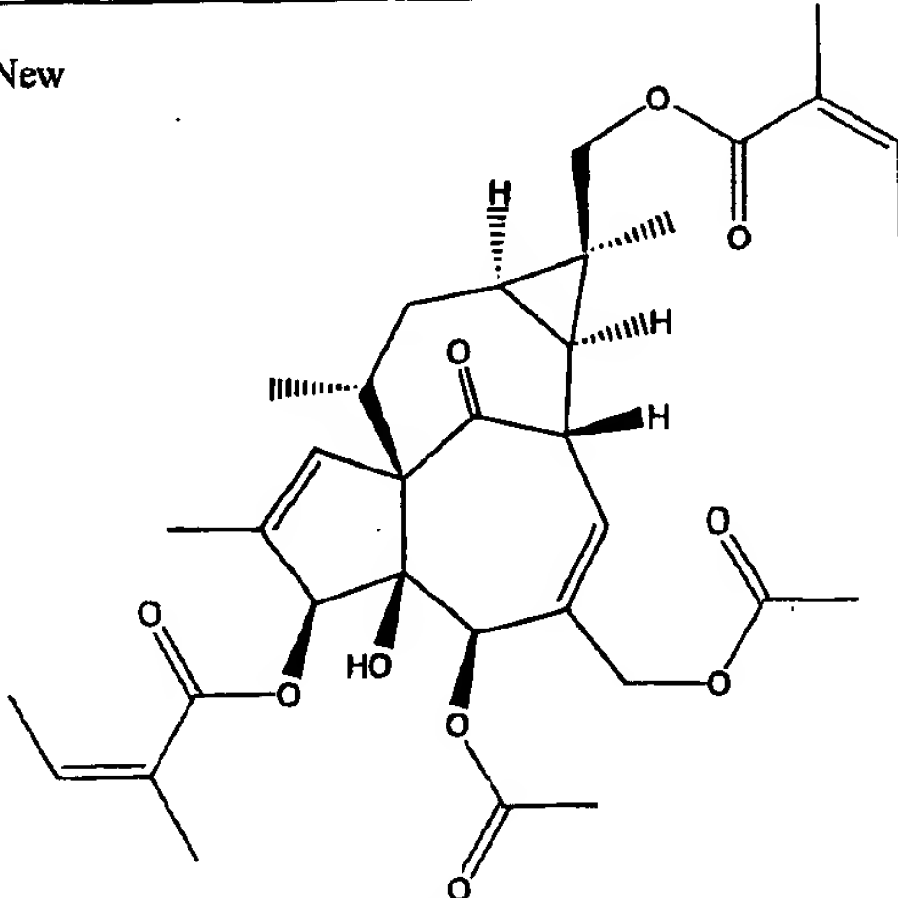
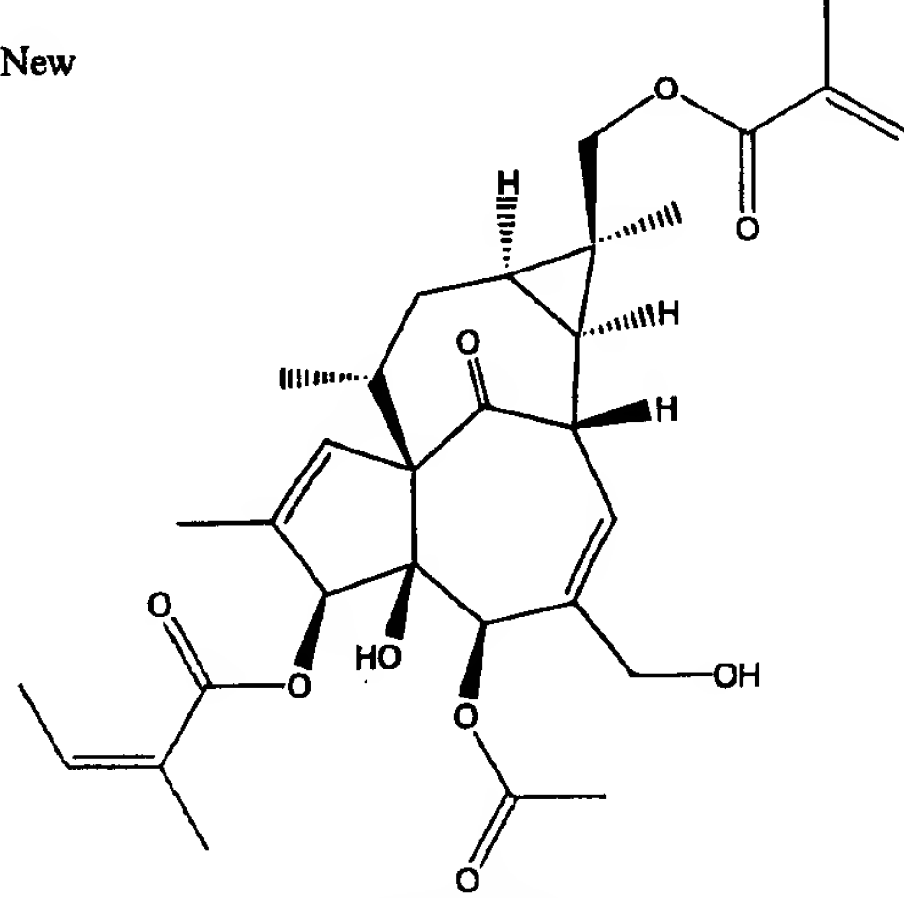
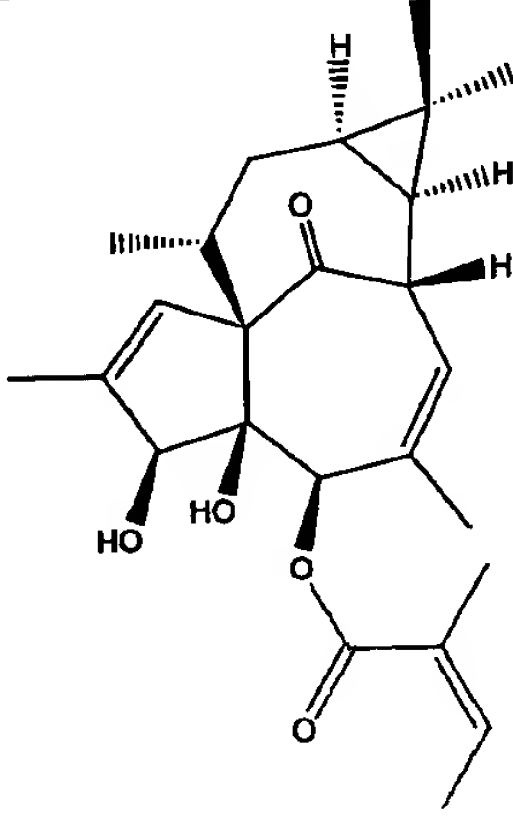
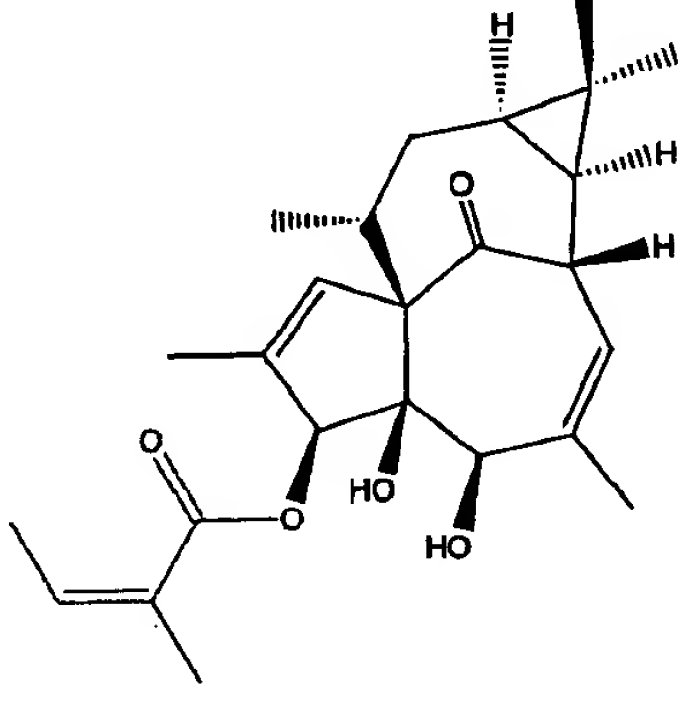
<p>New</p>  <p>compound 5</p>	<p>New</p>  <p>compound 6</p>
<p>New</p>  <p>compound 7</p>	<p>New</p>  <p>compound 8</p>

Table 6: Structures of Compounds 1-16 (c/fwd)

<p>New</p>  <p>compound 9</p>	<p>New</p>  <p>compound 10</p>
<p>New</p>  <p>compound 11</p>	<p>New</p>  <p>compound 12</p>
<p>New</p>  <p>compound 13</p>	<p>New</p>  <p>compound 14</p>

- 22 -

Table 6: Structures of Compounds 1-16 (c/fwd)

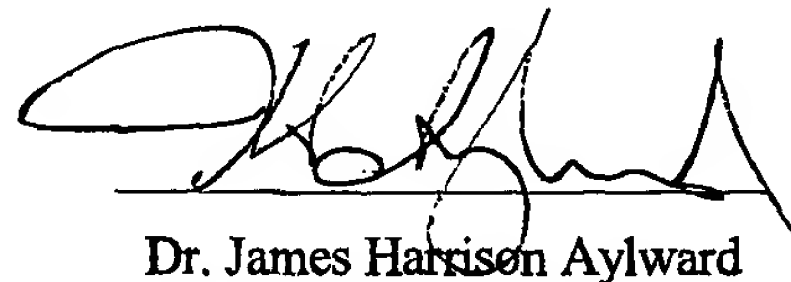
<p>Known</p>  <p>compound 15</p>	<p>Known</p>  <p>compound 16</p>
--	--

- 23 -

5. It is my considered scientific opinion that these data support the claim that cancer can be treated by administering to the subject in need thereof a therapeutically effective amount of an angeloyl-substituted ingenane obtainable from the sap of a *Euphorbia* species and an active derivative of an angeloyl-substituted ingenane obtainable from the sap of a *Euphorbia* species.

The undersigned declares further that all statements made herein are of his own knowledge, are true, and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful, false statements, and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Date: August 22 2003



Dr. James Harrison Aylward

EXHIBIT JHA-1

CURRICULUM VITAE

JAMES HARRISON AYLWARD

Home Address:

14 Marston Ave
Indooroopilly, QLD, 4068
Australia
Phone: +617 3371 9287

Present Work Location:

G floor
Comprehensive Cancer Research Centre
Queensland Institute of Medical Research
The Bancroft Centre
Royal Brisbane Hospital
Herston, Brisbane, QLD, 4006
Australia

Date of Birth:

July 1, 1948, Springvale, Victoria, Australia

Present Position:

Research Director
Peplin Operations Pty Ltd, a subsidiary of
Peplin Biotech Ltd
Ground Floor, South Tower
527 Gregory Terrace
Bowen Hills, Brisbane, QLD, 4006
Australia
Phone: +617 3854 0980
Fax: + 617 3854 0989
Mobile: +61419 71 0808
Email: jim.aylward@peplin.com

Marital Status:

Married, no children

Formal Education:

1972-75

PhD (Biochemistry) Monash University,
Clayton, Victoria, Australia

1970-71

MSc qualifying (Biochemistry), Monash
University, Clayton, Victoria, Australia

1967-69:

BSc, majors in Chemistry & Biochemistry,
Monash University, Clayton, Victoria,
Australia

1966:

Matriculation, Huntingdale High School,
Huntingdale, Victoria, Australia

Professional Experience:

April 1998 – present time

Research Director, Peplin Biotech

direction of research relating to commercialisation of novel small molecules with biological activity, with focus on anticancer activity. Co-founder of Peplin Biotech in 1998

1992 - April 1998:

Principal Research Scientist CSIRO

Division of Tropical Agriculture
306 Carmody Road, St. Lucia, QLD 4068, Australia

Project Leader 1993-95 (Biotechnology group)

Budget responsibility: AUD \$1.5m pa

improving the nutrition of ruminants by increasing the nutritive value of dietary fibre by manipulation of enzymes of fibre degradation in the rumen, using the tools of protein biochemistry and molecular biology

enzymes for use in the paper pulp industry

use of bacteria and yeasts as biocontrol agents for protection of fruits and vegetables from fungal spoilage

agents for use in opportunistic fungal infections and as immune system boosters

anti-cancer compounds which promote cellular differentiation

development of new functional foods

DNA incorporation into bacteria using sub micron gold particles

1984-91

Senior Research Scientist/Principal

Research Scientist CSIRO Division of Tropical Animal Production, Meiers Road, Indooroopilly, QLD, 4068, Australia

vaccines against tick-borne diseases

1981-83

Research Scientist/Senior Research Scientist

CSIRO Division of Tropical Crops and Pastures, Cunningham Laboratory, St, Lucia QLD, 4068, Australia

nutritive value and toxicity testing of new dietary legumes (beans) for ruminants and monogastrics

1980-81

Senior Tutor

Monash University, Department of Biochemistry, Clayton, VIC, 3168, Australia

control of intermediary metabolism by fragments of growth hormone in muscle, adipose tissue and liver

1979-80

Research Associate

Department of Physiology
Howard Hughes Medical Institute
Vanderbilt University, Nashville, Tennessee, USA

mechanism of insulin and adrenalin action on muscle glycogen synthase, a key enzyme in control of carbohydrate metabolism

1976-78

Research Associate

Department of Biochemistry
University of Miami School of Medicine
Miami, Florida, USA

enzymology of phosphorylase phosphatase, a key enzyme in energy metabolism under hormonal control

Publications

Patent applications (CSIRO owned)

Inventors: Aylward, J.H. and Stone, B.F. (1991) "Tick paralysis toxin" *Australia* 86784

Inventors: Aylward, J.H. and Orpin, C.G. (1992) "Biocontrol bacteria" *Australia PL* 0256

Inventors: Williamson, M.A. and Aylward, J.H. (1992) "Biocontrol agents for use in horticulture" *Australia PL* 8298

Inventors: Aylward, J.H., Riddles, P.W., and Wright, I.G. (1993) "Antigens and polypeptides derived from Babesia (12D3) antigen." *Australia* 640398

Inventors: Aylward, J.H. and Williamson, M.A. (1993) "Biocontrol agents for use in agricultural products" *Australia PL* 7721

Inventors: Xue, G-P., Gobius, K.S., Aylward, J.H., and Orpin, C.G. (1993)
"Recombinant cellulases"

Inventors: Aylward, J.H., and Williamson, M.A. (1996) "Biocontrol agents in treatment of opportunistic infections" *Australia PN 9072*

Non-CSIRO owned

Inventor: Aylward, J.H. (1997) "Anti-cancer compounds" *Australia Provisional PO 8640, PCT/AU98/00656* (transferred to Peplin Biotech Pty Ltd)

Papers and Book chapters

Aylward, J.H., Bornstein, J., Gould, M.K. and Hall, S. (1972) Effect of polypeptides derived from growth hormone on the oxidation of pyruvate. *Israel Journal of Medical Science* 8 864.

Aylward, J.H., Bornstein, J., Gould, M.K. and Hall, S. (1974) Inhibition of muscle pyruvate dehydrogenase by a polypeptide from growth hormone. *Biochemical Biophysical Research Communications* 59 57-62.

Aylward, J.H. (1976) The effect of In-G on pyruvate dehydrogenase and glycogen synthase. *Ph.D. Thesis, Monash University, Clayton, Victoria Australia.*

Gould, M.K., Aylward, J.H., Bornstein, J. and Sloan, I.G. (1977) Inhibition of pyruvate dehydrogenase and glycogen synthase by an insulin-antagonistic peptide from growth hormone. *Diabetologia* 13 396.

Killilea, S.D., Mellgren, R.L., Aylward, J.H. and Lee, E.Y.C. (1978) Inhibition of phosphorylase phosphatase by polyamines. *Biochemical Biophysical Research Communications* 81 1040-1046.

Lee, E.Y.C., Mellgren, R.L., Aylward, J.H. and Killilea, S.D. (1978) Mammalian phosphorylase phosphatase. *Biochemical Society Transactions* 6 25-29.

Killilea, S.D., Aylward, J.H., Mellgren, R.L. and Lee, E.Y.C. (1978) Purification and properties of bovine myocardial phosphorylase phosphatase (protein phosphatase C). *Archives of Biochemistry and Biophysics* 191 638-646.

Lee, E.Y.C., Mellgren, R.L., Killilea, S.D. and Aylward, J.H. (1978) Properties and regulation of liver protein phosphatases. In "Regulatory mechanisms of carbohydrate metabolism" (Ed V. Esmann) *FEBS Symposium* 42 327-346 (Pergamon Press, New York).

Lee, E.Y.C., Aylward, J.H., Mellgren, R.L., and Killilea, S.D. (1979) Protein phosphatase C: properties, specificity and structural relationship to a larger holoenzyme. In: "From gene to protein: information transfer in normal and abnormal cells" (Eds. T.R. Russell et al), pp. 483-500 (Academic Press, New York).

Mellgren, R.L., Aylward, J.H., Killilea, S.D. and Lee, E.Y.C. (1979) The activation and dissociation of a high molecular weight form of rabbit skeletal muscle phosphorylase phosphatase by endogenous Ca^{2+} -dependent proteases. *Journal of Biological Chemistry* 254 648-652.

Aylward, J.H., Mellgren, R.L., Killilea, S.D. and Lee, E.Y.C. (1980) Protein phosphatases: properties and role in the regulation of glycogen synthesis and breakdown. In: "Mechanisms of saccharide polymerisation and depolymerisation" (Ed. J.J. Marshall), pp. 239-254 (Academic Press, New York).

Chiasson, J.L., Aylward, J.H., Shikama, H. and Exton J.H. (1980) Hormonal regulation of glycogen synthase phosphorylation in skeletal muscle. *The Physiologist* 23 4.

Chiasson, J.L., Aylward, J.H., Shikama, H. and Exton J.H. (1980) Hormonal regulation of glycogen synthase phosphorylation in perfused rat skeletal muscle. *FEBS Letters* 127 97-100.

Paris, H., Ganapathi, M.K., Silberman, S.R., Aylward, J.H. and Lee, E.Y.C. (1984) Isolation and characterization of a high molecular weight protein phosphatase from rabbit skeletal muscle. *Journal of Biological Chemistry* 259 7510-7518.

Aylward, J.H., Court, R.D., Haydock, K.P., Strickland, R.W. and Hegarty, M.P. (1987) Indigofera species with agronomic potential in the tropics: rat toxicity studies. *Australian Journal of Agricultural Research* 38 177-86.

Wright, I.G., Goodger, B.V., Leatch, G., Aylward, J.H., Rode-Bramanis, K. and Waltisbuhl, D.J. (1987) *Babesia bigemina*: protection of immune animals against subsequent challenge with virulent *Babesia bovis*. *Infection and Immunity* 155 364-368.

Gale, K.R., Wright, I.G., Riddles, P.W., Goodger, B.V., Dalrymple, B.P., Waltisbuhl, D.J., Casu, R.E., Leatch, G., Parodi, F. and Aylward, J. H. (1991) Vaccination against *Babesia bovis* using antigens produced by recombinant DNA technology. Workshop "Recent developments in the control of Anaplasmosis, Babesiosis and Cowdriosis" International Laboratory for Research on Animal Disease (ILRAD), Nairobi, Kenya, 12-15 May, 1991.

Stone, B.F. and Aylward, J.H. (1991) Holocyclotoxin: The paralysing toxin of the Australian paralysis tick *Ixodes holocyclus*; studies on chemical and immunological characterisation. In: *Proceedings of the 10th world congress on animal, plant and microbial toxins* 3-8 November, Singapore.

Xue, G-P., Johnson, J.S., Smyth, D.J., Dierens, L.M., Wang, X., Simpson, G.D., Gobius, K.S. and Aylward, J.H. (1996) Temperature-regulated expression of the *lac* system for overproduction of a fungal xylanase in *Escherichia coli*. *Applied Microbiology and Biotechnology* **45** 120-126.

Xue, G-P., Orpin, C.G., Gobius, K.S., Aylward, J.H. and Simpson, G.D. (1992) Cloning and expression of multiple cellulase cDNAs from the anaerobic fungus *Neocallimastix patriciarum* in *Escherichia coli*. *Journal of General Microbiology* **138** 1413-20.

Aylward, J.H., Xue, G-P., Simpson, G.D. and Orpin, C.G. (1993) Cellobiohydrolase (CBH) from *Neocallimastix patriciarum*: a membrane associated complex? In: *Proceedings of the 17th International Grassland Congress*, Palmerston North, New Zealand, February 8-21, 1993. New Zealand Grassland Association; pp.1222-1224.

Aylward, J.H. (1995) Aspects of rumen manipulation and use of probiotics in extra production. In: *Proceedings of Field Day and International Seminar on Angora Goat Health and Production*, Kooroongarra, Queensland, October, 1995. Unpaged. (Angora Mohair Breeders of Australia, Queensland Division, Brisbane) [Invited paper.]

Xue, G-P., Denman, S.E., Glassop, D., Johnson, J.S., Dierens, L.M., Gobius, K.S. and Aylward, J.H. (1995) Modification of a xylanase cDNA isolated from an anaerobic fungus *Neocallimastix patriciarum* for high-level expression in *Escherichia coli*. *Journal of Biotechnology* **38** 269-77.

Aylward, J.H., Xue, G.P. and Gobius, K.S. (1996) Rumen biotechnology, probiotics and trace elements in extra production and good animal health. In: *Seminar '96: Goats, For All Season, For All Reasons!*, Brisbane, May, 1996. pp.65-68. Dairy Goat Society of Australia, Brisbane, Queensland [invited paper]

Xue, G-P., Johnson, J.S., Smyth, D.J., Dierens, L.M., Wang, W., Simpson, G.D., Gobius, K.S. and Aylward, J.H. (1996) Temperature-regulated expression of the *lac* system for overproduction of a fungal xylanase in *Escherichia coli*. *Applied Microbiology and Biotechnology* **45** 120-26.

Xue, G-P., Gobius, K.S., Ealing, P.M. and Aylward, J.H. (1996) Rumen fungal β -glucanase and xylanase genes: potential for genetically engineered cereal crops. In: *Proceedings of the 8th Australian Agronomy Conference*, Toowoomba, Queensland, January-February, 1996. (Ed. M. Asghar), pp.602-605. (Australian Society of Agronomy: Carlton, Vic.)

Allen, C. J., Mackay, M. J., Aylward, J. H., and Campbell, J. A. (1997) Opportunities for value-adding in the sugar industry - bagasse utilisation. *Agricultural Science* **10** 37-40.

Xue, G-P., Johnson, J. S., Bransgrove, K. L., Gregg, K., Beard, C. E., Dalrymple, B. P., Gobius, K. S., and Aylward, J. H. (1997) Improvement of expression and secretion of a fungal xylanase in the rumen bacterium *Butyrivibrio fibrisolvens* OB156 by manipulation of promoter and signal sequences. *Journal of Biotechnology* **54** 139-48.

Allen, C.J., Mackay, M. J., Aylward, J. H. and Campbell J. A. (1997) New technologies for by-product modification. In: *'Intensive Sugarcane Production: Meeting the Challenges Beyond 2000'*. (Eds B. A. Keating and J. R. Willson). In Press. CABI, Wallingford, UK.

Aylward, J.H., Gobius, K.S., Kennedy, P.M., Simpson, G.D., Xue, G-P and Dalrymple, B.P. (1999) Characterisation of a *Neocallimastix patriciarum* cellulase, CelD, and comparison with *N. patriciarum* CelA, and *Trichoderma reesei* cellulase preparations. *Enzyme Microbial Technology* **24** 609-614

Elliott, A.R., Silvert, P-Y., Xue, G-P., Simpson, G.D., Tekaija-Elhsissen, K. and Aylward, J.H. (1999) Transformation of *Bacillus subtilis* using the particle inflow gun and submicrometer particles obtained by the polyol process. *Analytical Biochemistry* **269** 418-420

Abstracts

Aylward, J.H., Bornstein, J., and Gould, M.K. (1976) The diabetogenic action of growth hormone: mechanism of action of fraction In-G. *Australian Diabetes Society* **13** Nov. 1976.

Mellgren, R.L., Killilea, S.D., Aylward, J.H., and Lee, E.Y.C. (1978) Activation and dissociation of rabbit muscle phosphorylase phosphatase by an endogenous Ca^{2+} - dependent neutral protease *Federation Proceedings* **37** 1808.

Aylward, J.H., Mellgren, R.L. and Killilea, S.D. (1978) Partial purification of a rabbit muscle protein phosphatase which is separable from phosphorylase phosphatase *Federation Proceedings* **37** 1808.

Aylward, J.H. (1981) Post receptor events in the regulation of hormone action. *Proceedings of the Diabetes Society*, Christchurch, N.Z. Abs 3 [invited contribution].

Aylward, J.H., Silberman, Ganapathi, M.K., S.R., Paris, H., Dombradi, V. and Lee, E.Y.C. (1982) Properties and regulation of rabbit skeletal muscle protein phosphatases. In: *Proceedings of the 12th International Congress of Biochemistry*, Perth, Western Australia, 1982 SYM-014-003 [invited contribution].

Riddles, P.W., Aylward, J.H. and Wright I.G. (1990) A protective recombinant antigen from *Babesia bovis*: The 12D3 antigen. *Proceedings of the VII International Congress of Parasitology* 20-24 August, 1990, Paris, France, p 649.

Riddles, P.W., Casu, R.E., Aylward, J.H. and Wright I.G. (1990) A recombinant vaccine against *Babesia bovis*: one of the antigens. *Proceedings of the Australian Biochemical Society* 22 P4.12.

Wright, I.G., Aylward, J.H., Goodger, B.V., Leatch, G., Riddles, P.W., and Rode-Bramanis, K. (1986) Babesiosis vaccine: the presence of *B. bovis* protective antigen in *B. bigemina*. *Journal of Cellular Biochemistry Supp* 10A 156 C119.

Riddles, P.W., Casu, R.E., Aylward, J.H. and Wright I.G. (1990) A recombinant vaccine against *Babesia bovis*: one of the antigens. *Proceedings of the Australian Society for Parasitology* 1990.

Xue, G-P., Gobius, K.S., Orpin, C.G., Aylward, J.H. and Dierens, L.M. (1992) Expression of a multi-functional cellulolytic cDNA from the rumen fungus *Neocallimastix patriciarum* in *E. coli*. *Proceedings of the Australian Society for Microbiology* A22.

Vithanage, V., Mayne, D. and Aylward, J.H. (1993) Management of "jelly seed" in mango (*Mangifera indica* L.) cv Tommy Atkins. International Conference on Post Harvest Handling of Tropical Fruits, Chiang Mai, Thailand 19-23 July 1993.

Johnson, J. S., Aylward, J. H., and Orpin, C. G. (1992) Purification of a cellobiohydrolase from *Neocallimastix patriciarum* by "blue native" agarose electrophoresis. In: *12th International Symposium on HPLC of Proteins, Peptides and Polynucleotides* November 1992, Sydney, p.22.

Aylward, J.H., Xue, G-P., Gobius, K.S. and Simpson, G.D. (1994) Cooperative effect of recombinant lignocellulolytic enzymes from the rumen anaerobic fungus *Neocallimastix patriciarum* on the hydrolysis of lignocellulosic substrates. In: *Proceedings of the Australian Society for Biochemistry and Molecular Biology* 26 POS-2-73. (The Society: South Melbourne).

Denman, S.E., Xue, G-P., Patel, B. and Aylward, J.H. (1994) Characterisation and expression of a cellobiohydrolase cDNA from a rumen anaerobic fungus. In: *Proceedings of the Australian Society for Biochemistry and Molecular Biology Conference*. Vol. 26 POS-2-35. (The Society: South Melbourne).

Xue, G-P., Denman, S.E., Glassop, D., Johnson, J.S., Dierens, L.M. and Aylward, J.H. (1994) Engineering an anaerobic fungus xylanase cDNA for high-level expression in *Escherichia coli*. In: *Proceedings of the Australian Society for Biochemistry and Molecular Biology* 26 COL-3-2. (The Society: South Melbourne).

Xue, G-P., Gobius, K.S., Orpin, C.G., Aylward, J.H. and Dierens, L.M. (1994) Expression of a multi-functional cellulolytic cDNA from the rumen fungus *Neocallimastix patriciarum* in *E. coli*. *Australian Microbiologist* 13 A22.

Gobius, K.S., Xue, G-P. and Aylward, J.H. (1994) Nucleotide sequence and catalytic domain characterisation of a multifunctional cellulase cDNA (celD) isolated from the rumen fungus *Neocallimastix patriciarum*. [Poster Paper]. In: *Proceedings of the Australian Society for Biochemistry and Molecular Biology* 26 POS-2-34. (The Society: South Melbourne)

Xue, G-P., Johnson, J.S., Dierens, L.M., Simpson, G.D., Denman, S.E., Gobius, K.S. and Aylward, J.H. (1994) Construction and purification of a recombinant fungal cellulase tagged with a flag peptide. In: *Proceedings of the Australian Society for Biochemistry and Molecular Biology* 26 POS-2-43. (The Society: South Melbourne).

Gobius, K. S., Xue, G-P. and Aylward, J. H. (1995) Transformation of the rumen bacterium *Butyrivibrio fibrisolvens* with recombinant cDNAs encoding fibre-degrading enzymes. *Australian Microbiologist* 16 P18.8.

Johnson, J. S., Xue, G-P., Ware, C. E., Gregg, K., Gobius, K. S. and Aylward, J. H. (1995) Analysis of the promoter strength of a rumen bacterial xylanase gene and its mutants in *Butyrivibrio fibrisolvens* OB156. *Australian Microbiologist* 16, PO1.1.

Xue, G-P., Denman, S. E., Glassop, D., Johnson, J. S., Dierens, L. M., Gobius, K. S. and Aylward, J. H. (1995) High-level expression of a modified fungal xylanase cDNA in a *Escherichia coli*. *7th European Congress on Biotechnology, Nice, February 1995*. p.52.

Xue, G-P., Gobius, K. S., Dierens, L. M., Johnson, J. S., Smyth, D. J., Simpson, G. D. and Aylward, J. H. (1995) Secretion of fungal enzymes mediated by the signal sequence of alpha-amylase from *Butyrivibrio fibrisolvens* in various bacteria. *Australian Microbiologist* 16 P18.7.

Gobius, K. S., Xue, G. P. and Aylward, J. H. (1996) Towards genetic modification of rumen bacteria for improved pasture fibre digestion. In: *Proceedings of the 8th Australian Agronomy Conference*, Toowoomba, Queensland, January-February, 1996, (Ed. M. Asghar), p.655. (Australian Society of Agronomy: Carlton, Vic.)

Aylward, J.H. and Xue, G-P. (1997) Functional foods: new value-added crops for the North? In: CSIRO Tropical Agriculture Inaugural meeting, Hervey Bay Abstracts, May, 1997.
